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# An evaluation of the impact of the Social Mobility Foundation programmes on education outcomes 

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## Christine Farquharson and Ellen Greaves

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## Preface

This work was primarily funded by the Social Mobility Foundation, courtesy of a grant from J.P. Morgan. The Social Mobility Foundation is a charity that aims to address inequality in access to professional occupations for high-achieving disadvantaged pupils. Co-funding was from the Centre for the Microeconomic Analysis of Public Policy, hosted at the Institute for Fiscal Studies (grant number ES/M010147/1).

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## Executive summary

The Social Mobility Foundation (SMF) is a charity that aims to make a practical improvement to social mobility in the UK by encouraging and supporting access to 'highstatus' universities and professional occupations for high-attaining pupils from disadvantaged backgrounds.

The SMF's current programme, the Aspiring Professionals Programme, offers young people support across four key areas: mentoring, university application advice (including tailored visits to universities, and assistance with personal statements, interviews and admissions tests), skills sessions and internships. Young people join a City, Residential and, more recently, Online strand of the programme by application aged 16-17, and if successful are supported throughout their education, until graduate employment. The programme is open to academic young people predicted to achieve ABB at A-level (or equivalent), and who are eligible for Free School Meals (FSM), or are the first generation in their family to attend university in the UK or attend a school with a higher than average number of pupils eligible for FSM.

University participation, and especially participation at a high-status institution in a relevant subject, is a potentially important intermediate step towards accessing the type of professional occupations the SMF targets. This report therefore evaluates the impact of the SMF programmes on university participation overall and at high-status institutions. It also assesses its effect on subject choice (although this is not explicitly targeted by the SMF programmes). The impacts of the SMF's work on employment choices, and in particular occupation outcomes, are evaluated in another report by the Institute for Fiscal Studies (IFS).

This evaluation compares the education outcomes of SMF participants (collected by SMF via participant questionnaires and online searches) with outcomes for a group of pupils with similar observable characteristics (such as performance at secondary school and neighbourhood context), observed in administrative data. This report focuses on the education outcomes for six cohorts of participants with the SMF: the cohorts that entered the programme between 2009 and 2014. Results for the cohorts entering in 2013 and 2014 (referred to as the 2013 cohort and 2014 cohort) are new. Results for earlier cohorts update findings contained in an earlier IFS report (Crawford, Greaves and Jin, 2015).

We can interpret the difference in university participation and subject choice between SMF participants and our suitably chosen 'comparison' group of young people as the causal impact of the SMF programmes, under some assumptions, as follows.

- Participants do not choose to be part of the SMF programme on the basis of characteristics that are not observable to the researcher, and that also influence education outcomes. Examples of such factors could be pupils' motivation and professional aspirations, conditional on performance at secondary school.
- The sample of participants for which we observe education outcomes is a representative sample of SMF participants.
- These two assumptions are highly unlikely to be met in full. Nevertheless, by accounting for a wide range of important observable characteristics (such as prior attainment,
subject choice, and disadvantage), we are able to move 'closer to causal' and provide a better sense of how the SMF programmes have affected the outcomes of otherwisesimilar students.

We find that students who participated in the SMF programmes were substantially more likely to attend university in the two years after they finished their A-levels (or equivalent). The biggest impacts were for the 2009 cohort (when the programme was smaller and more selective), but even in the 2013 and 2014 cohorts, SMF participants were around 9 percentage points more likely to attend university than other similar students. This is a very significant impact in a context where around $80 \%$ of comparison students attended university.

In addition, among students who did go on to university, there is some indication that SMF participants were more likely to attend Russell Group universities (though they were not any more likely to attend the universities that were most visited by employers). There is also little evidence that the SMF programmes shifted students' choices to pursue business/finance or law, but SMF participants who went on to university were more likely to study politics, especially in the later cohorts.

## Key findings

SMF participants are more likely to attend university in the two years after A-levels (or equivalent).

Compared with a group of pupils with similar background and prior attainment, SMF participants were substantially more likely to attend university in the two years after they finished their A-levels (or equivalent). The estimates are large (ranging between 8 and 18 percentage points across cohorts), in a context where around $80 \%$ of comparison students attended university. The impact of the SMF programmes on increasing university participation is roughly equivalent to increasing attainment for all pupils to at least three A* grades at A-level from at least three $B$ grades.

SMF participants who attend university are more likely to attend Russell Group universities.

Conditional on attending higher education, the SMF programmes had a positive impact on the chances of attending a Russell Group university, but these effects are only statistically significant for the 2010, 2013 and 2014 cohorts, with other cohorts aside from 2012 close to statistical significance. The size of the estimates would eliminate the difference in Russell Group participation between white pupils with at least three A grades at A-level eligible or not for FSM. However, there is no evidence that participation at a university most visited by top employers increased.

## Limitations of this study are those common to non-experimental research designs.

We construct a credible comparison group of pupils whose education outcomes act as the counterfactual for SMF participants' outcomes in the absence of the programme. The crucial but untestable assumption is 'selection on observables': that is, there are no differences between the SMF participants and comparison group in unobservable characteristics, such as motivation or aspirations. Our estimates also rely on the outcomes we observe for SMF participants being representative of SMF participants as a whole.

Other comparison groups may be useful.

Alternative comparison groups may be useful for charities and organisations that need timely, but coarser, information to benchmark the success of their programme. Organisations can choose which group of students is most similar to their participants to act as a 'rough and ready' counterfactual group.

## 1. Introduction

The UK has relatively low levels of intergenerational income mobility (Carneiro et al., 2020), and there is evidence to suggest that professional occupations have become more, not less, socially exclusive over time. ${ }^{1}$ Evidence suggests that pupils educated in independent schools are more likely to access professional occupations than pupils from state schools, even conditional on prior academic attainment and gaining access to a highstatus university (Macmillan, Tyler and Vignoles, 2015). More generally, parental income is a better predictor of access to professional occupations than detailed measures of educational attainment (Gregg, Macmillan and Vittori, 2019). Improving access to professional occupations for disadvantaged young people would therefore make a positive contribution to improving social mobility in the UK.

The Social Mobility Foundation (SMF) was founded in 2005 with the aim of facilitating access to professional occupations through work experience. Its flagship programme, the Aspiring Professionals Programme (APP), aims to provide holistic support to disadvantaged pupils with high attainment in order to support their transition to university and the workforce. Participants are likely to have found out about the SMF programme through their school, and may have been encouraged to apply by a teacher. Applicants who meet the eligibility criteria are very likely to be admitted to the programme.

The support offered by the SMF has widened significantly over time. In 2006, 59 Year 12 and 13 pupils (aged 16-18) from London were offered internships. While internships remain an important component of the SMF's support, the current APP also offers mentoring, university application advice (including tailored visits to universities, and assistance with personal statements, interviews and admissions tests), and skills sessions. Young people apply for a strand of the programme depending on their location and career interests, and the SMF now has offices in three of the four UK nations, offering nuanced support across the country. Although the SMF works nationally now, because the report focuses on data for English pupils we use English educational language and terms throughout the report.

In 2010, the APP had an intake of over 500 Year 12 pupils (aged 16-17), and by 2014, the final cohort considered in this report, over 850 pupils in Year 12 or the UK equivalent (S5 in Scotland, Year 13 in Northern Ireland).

Over the first year of the APP, as they are making their university choices and applications, pupils are offered the following:

- a mentor working in their profession of interest, to correspond with by email roughly once a week, with several opportunities to meet face to face;
- a number of events, provided by the SMF or partner universities and employers, which include skills sessions, days to provide an overview of the roles and requirements of a sector of employment, workshops on 'making an impression' and interview skills, and focused events on the Russell Group and Oxbridge;

[^0]- trips to Russell Group universities in London and outside London;
- a ‘Personal Statement Checking Service';
- information about other opportunities they can pursue beyond those offered by the SMF, such as university summer schools;
- if they have engaged well with the programme, and subject to availability, a one- or two-week internship in their sector of interest - these normally take place in the summer between Years 12 and 13 (or equivalent) and those most engaged may receive more than one placement in their chosen sector, as well as a placement with an MP.

The SMF programmes are targeted at pupils who are disadvantaged and high-achieving. Specifically, to be eligible for the scheme in 2012 onwards, pupils needed to meet a disadvantage criterion - eligible or previously eligible for Free School Meals (FSM) - and an achievement criterion - predicted to achieve ABB (two ' $A$ ' grades and one ' $B$ ' in any subject) at their A-level exams (or equivalent), taken at age 18. ${ }^{2}$ Pupils who did not meet the individual disadvantage criterion were also eligible if they were part of the first generation in their family to attend university in the UK and if they attended a school with a relatively disadvantaged pupil intake (with a higher than average proportion of pupils eligible for FSM) either for GCSEs (age 16) or A-levels (or equivalent). ${ }^{3}$

For cohorts prior to 2012, participants must have been eligible for FSM or the educational maintenance allowance (EMA), which was assigned on the basis of household income, in addition to the same conditions on achieved and predicted qualifications.

While the APP City strand focused on pupils in metropolitan centres - originally London, but now also Birmingham, Cardiff, Glasgow, Leeds, Liverpool, Manchester and Newcastle from 2012 the SMF also offered a 'Residential' strand for pupils who met the eligibility criteria but lived outside these areas. In its first year, there were two Residential strands, each offering a two-week internship: the J.P. Morgan Finance Residential Programme offered 50 Year 12 (or equivalent) pupils a placement at the global investment bank, while the second year of the Whitehall Social Mobility Internship Programme offered 60 Year 12 (or equivalent) pupils a placement within a government department in Whitehall. Pupils on the J.P. Morgan programme were also matched with a mentor from J.P. Morgan, who acted in a similar role to mentors involved with the APP. Applicants were informed that the residential programmes would be of particular interest to pupils who were considering a career in banking and finance or who had an interest in finance and economics, and to those who had an interest in learning more about the Civil Service, respectively.

In 2013, the SMF partnered with Linklaters and the City Talent Initiative to provide additional Residential Programme streams in law and financial services. The following year, partnerships with CH2M and KPMG extended the programme to the engineering and accounting sectors.

[^1]The residential internship programmes also included a range of evening activities, including a theatre trip to a West End show and a trip on the London Eye, and skills and career workshops, as well as sessions about applying to Russell Group universities, including advice about how to strengthen an UCAS application. Table 1.1 summarises the timeline of the SMF activities and support.

Education is an important route through which young people from disadvantaged backgrounds can gain access to professional occupations, for example through subject choice at A-level and undergraduate degree, and the perceived status of the higher education (HE) institution. This evaluation therefore focuses on early education outcomes for SMF participants. As subject choice is not an explicit focus of SMF events, any impact of involvement with the SMF on this outcome is likely to come through informal conversations with SMF staff, mentors or colleagues during internships. The impact of SMF's work on occupation outcomes (employment, professional occupation and salary) is evaluated in a partner IFS report.

This report proceeds as follows.
Chapter 2 outlines the methodology for this evaluation, and presents the data used.
Chapter 3 shows the characteristics of SMF participants who became involved with the charity between 2009 and 2014. This highlights the need to construct a credible control group for participants.

Chapter 4 presents the evaluation of the impact of the SMF's work on participants who became involved with the charity between 2009 and 2014. This updates and extends analysis from an earlier report (Crawford et al., 2015).

Chapter 5 summarises differences in outcomes across SMF programmes.
Chapter 6 presents alternative comparison groups, which may be useful for charities and organisations that need timely, but coarser, information to benchmark the success of their programme.

Chapter 7 concludes.

Table 1.1. SMF participants and activities for sixth-form (or equivalent) pupils

| Cohort | Number of participants | SMF activities | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2014-15 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Third cohort of SMF (2009 cohort) | 303 pupils | Mentoring or internship (applied for separately) <br> Events: Public Speaking skills workshop; Preinternship induction; Thinking of Oxbridge workshop; Interview Practice and Interview Skills | Y12 | Y13 |  |  |  |  |  |
| Fourth cohort of SMF <br> (2010 cohort) | 507 pupils | APP <br> Events: Thinking of Oxbridge and the Russell Group (x3 sessions); University visit (x3); Making an Impression workshop ( $x 4$ ); ‘Centre of the Cell'; Interview Skills (x2) |  | Y12 | Y13 |  |  |  |  |
| Fifth cohort of SMF <br> (2011 cohort) | 666 pupils | APP (expansion of events) <br> Events: Thinking of Oxbridge and the Russell Group ( $x 2$ sessions); University visit ( $x 5$ ); Making an Impression workshop (x3); ‘Centre of the Cell’; Interview Skills (x2); 'What is Management Consultancy?'; Tour of Houses of Parliament; 'Futures Day' (career sector insight) (x2) |  |  | Y12 | Y13 |  |  |  |



## 2. Methodology

### 2.1 The evaluation problem

Evaluating the impact of a particular programme (including the work of the SMF) has a number of challenges. In an ideal world, one would compare the outcomes of individuals who participated in the programme (or received the 'treatment') with the outcomes of the same individuals had they not participated in the programme (the 'counterfactual outcome'). This is, of course, impossible; an individual either participates in the programme or does not, so one cannot observe outcomes for the same group of individuals under both scenarios.

One way to address this problem is to construct an appropriate comparison group who 'look' as similar as possible to programme participants. The idea is to provide a 'counterfactual outcome' to proxy as closely as possible what would have happened to participants' outcomes had they not participated in the programme, and to minimise the selection bias inherent in evaluating a programme in which participants have chosen to take part.

The construction of an appropriate comparison group is therefore the foundation of a robust evaluation. Ideally, the comparison group should be identical to the treatment group in all respects - in terms of characteristics that are both observed and unobserved to the researcher - except that one group received the treatment and the other did not. Perhaps the best way of doing this is for the treatment to be randomly assigned. In the absence of such an experiment, however, a wide range of techniques has been developed to enable researchers to construct appropriate comparison groups and hence to identify a suitable counterfactual outcome to proxy what would have happened to the outcomes of programme participants had they not participated in the programme.

This report uses a technique called propensity score matching (PSM) to 're-weight' individuals from a potential comparison group so that they 'look' as similar as possible to SMF participants in terms of observable characteristics. ${ }^{4}$ The key to this approach is that we must have access to a rich enough set of characteristics that we are able to account for all the important ways in which SMF participants differ from individuals in the potential comparison group. In particular, we must be able to account for all the factors that determine whether or not these individuals chose to participate in the programme, and whether they chose to respond to the survey that collected information on their university destinations and on their education and employment prospects. The underlying assumption is one of 'selection on observables'; that is, conditional on the characteristics included in our model, there are no differences in unobservable characteristics (such as motivation and innate ability), on average, between the treatment and control groups. This is a fundamentally untestable assumption, but one which relies primarily on the richness of the data available.

To construct an appropriate comparison group, we must therefore have access to a dataset that contains: (a) a rich set of background characteristics to help identify

[^2]individuals who 'look' like SMF programme participants; (b) their subsequent education outcomes.

Section 2.2 outlines the data used to construct a credible control group to evaluate the impact of SMF on education outcomes, Section 2.3 outlines the method for doing so and Section 2.4 summarises assumptions under which this approach will enable us to identify the causal effect of the SMF programmes on university outcomes.

### 2.2 Data

Information about SMF participants was made available by the SMF using information supplied to them from participants and their parents and from their schools/colleges. The information about each SMF cohort varies slightly (summarised in Appendix B), but includes for all cohorts a detailed set of pupil and neighbourhood characteristics. These are summarised in Table 2.1.

The university destination survey was completed soon after A-level results day (around September 2010 for the 2009 cohort, September 2011 for the 2010 cohort, and so on), and therefore captures immediate decisions and acceptances for the SMF cohort. Pupils who decide to reapply or take a gap year will therefore be recorded as not attending $H E$, although they may have attended HE in a subsequent year.

To help gather a fuller picture of education outcomes, additional data collection took place for SMF participants who did not respond to the survey. This data collection was conducted by SMF between November 2019 and January 2020 and involved searching online sources (e.g. LinkedIn or university web sites) for information about HE outcomes. For example, professional young people are most likely to have an online professional profile, so this method of data collection may disproportionately represent those with successful outcomes from the programme. ${ }^{5}$

For all of our analysis, we construct a credible control group of young people from linked individual-level administrative data from schools and universities, specifically the National Pupil Database (NPD) and the Higher Education Statistics Agency (HESA) student record data. The NPD comprises an annual census of pupils attending state schools in England, together with the results of national achievement tests for all pupils in England who sat them (including both state and private school pupils). The HESA data provide an annual census of all students attending HE institutions throughout the UK.

For this project, these linked datasets enable us to follow pupils in England who were in Year 12 between 2008-09 and 2014-15 through the education system, from age 11, through secondary school and post-compulsory education, and on to potential HE participation anywhere in the UK. We observe HE participation for two academic years after A-level completion.

[^3]The combined dataset includes public examination results (GCSEs, A-levels and equivalent vocational qualifications) at ages 16 and 18 for all pupils who sat them, as well as an identifier for the school in which they did so. For state pupils, it also includes a variety of background characteristics - such as gender, date of birth, ethnicity, special educational needs (SEN) status, eligibility for FSM, whether English is an additional language (EAL) and contextual information about the pupil's local neighbourhood. It also includes information on university destination and subject choice in a similar format to that collected by the SMF.

## Outcomes

The impact of the SMF programmes is evaluated according to the following outcomes, which are likely to be predictive of professional occupations later in life.

Defined for all pupils

- HE participation: defined as enrolling on a first degree in a UK HE institution included in the HESA data at age 18 or 19 . This is equivalent to progressing from A-level to HE the year after A-level completion, or after a 'gap year'.

Defined only for those pupils who go to university

- Russell Group HE participation: defined as enrolling on a first degree in a UK HE institution that is part of the Russell Group included in the HESA data at age 18 or 19.
- 'Top 10' participation: defined as enrolling on a first degree in a UK HE institution that was one of the ten most visited institutions by top employers in any of the academic years relevant to the SMF cohorts of interest (2008-09 to 2014-15) included in the HESA data at age 18 or $19 .{ }^{6}$ It is important to note that this ranking is based on employer visits rather than on rankings of the quality of education received at each university. The focus on employer visits reflects the SMF's ultimate objective of helping its participants to access professional occupations.
- Participation outside home region: defined as enrolling on a first degree in a UK HE institution that is outside the home region, included in the HESA data at age 18 or 19.
- Subject choice: defined as binary indicators for enrolling on a first degree in each of the following subject areas: business and finance, engineering, law, and mathematics. The classification of courses into these aggregate groups is given in Appendix D.

Note that all outcomes are defined according to whether the student participates in HE at age 18 , which excludes those who choose to take a gap year or to reapply. This is necessary because information about HE participation is available for the SMF cohort only soon after university destination choices are made and not in subsequent years. However, this may introduce some bias to our estimates if SMF participants are more likely to take a gap year or to reapply once A-level grades are known than students in the selected comparison group.

[^4]
## Common sample

The impact of the SMF programmes on all outcomes defined above are estimated from a common sample, where individuals are included if KS4 and KS5 results are known, and university participation, destination and subject choice are known. This ensures that differences in estimates between outcomes are truly due to differences and not due to changes in the sample. Table E. 1 in Appendix E shows the change in the common sample as these increasing conditions are imposed. For the control group, the majority of individuals are excluded from the common sample because they do not achieve at least one pass at A-level. This is desirable because these individuals would not form a suitable control group for the SMF cohorts. For the treatment group, the majority of individuals are excluded because education outcomes are not observed.

### 2.3 Construction of a suitable comparison group

We use these data to create a group of individuals who are as similar as possible to the SMF cohorts. The idea is that the outcomes of these individuals act as a proxy for what would have happened to the outcomes of the SMF participants had they not participated in the SMF programmes. Hence, a comparison of the outcomes of the two groups should provide a reasonable indication of the impact of the SMF programmes.

As outlined above, SMF participants must be predicted to achieve at least one A grade and two B grades at A-level. For cohorts prior to 2012, participants must also have been eligible for FSM or the EMA, which was assigned on the basis of household income. For the 2012, 2013, and 2014 cohorts, participants must be eligible for FSM or be in the first generation of their family to attend university where their school is relatively deprived. ${ }^{7}$ Eligibility for the EMA or family history of university attendance is not observed for the potential comparison group of pupils. We must instead rely on the ability of neighbourhood characteristics (such as the proportion of adults in the local area with a degree level qualification and local area deprivation) to find a suitable comparison group.

Table 2.1 summarises the variables that we use to construct a group of individuals who are most similar to the SMF participants. Note that these characteristics are largely unaffected by participation in the SMF programme, as they are fixed over time. The exception is attainment at A-level, which could be influenced by higher aspirations; however, A-level subject choice would be unaffected given the timing of involvement with the SMF.

We restrict attention to pupils in state schools who achieved at least one passing grade at A-level. In addition, we exclude all pupils in schools that have ever been involved with the SMF. This is because potential SMF participants are likely to hear about the SMF programme through their school, and pupils who have heard about the programme but have decided not to apply would not form a suitable control group for SMF participants as they are likely to have different unobservable characteristics, such as motivation or receptiveness to mentoring.

Amongst these pupils, the preferred control group is defined on the basis of PSM using nearest-neighbour matching according to the following characteristics: eligibility for FSM,

[^5]ethnic group, London region, local area characteristics (percentage of adults with professional occupations and degree level qualifications; ${ }^{8}$ Income Deprivation Affecting Children Index - hereafter IDACI - decile), and prior attainment (lowest grade at GCSEs from five subjects; lowest grade at GCSEs from eight subjects; GCSE mean grade; A-level mean grade; combinations of A-level grades achieved; whether enrolled in an A-level in maths and science; grade in A-level English and whether it was an A; grades in GCSE English and maths and whether they were A grades).

We believe the differences presented for this group are most likely to provide realistic estimates of the impact of the SMF programmes on university participation and other HE outcomes (under the assumptions outlined below).

### 2.4 Caveats

There are general caveats associated with this estimation method. As discussed in Section 2.1, this comparison of outcomes between SMF participants and this similar group of pupils allows one to identify the causal impact of involvement with the SMF, under a number of assumptions.

First, to interpret these estimates as the causal impact of the SMF programmes requires that participants who complete the SMF university destinations survey or have an online profile are similar in observable and unobservable ways to participants who do not complete the survey or have an online profile. This means that, under this assumption, there is no bias introduced from non-random non-response. This assumption is unlikely to hold in practice, however; for example, young people who fill in the survey may find the SMF programmes more valuable than those who do not, or young people who are accepted into their first-choice university may feel more positive about the experience and willing to respond than those who are not (although anecdotal evidence from the SMF suggests that this is not universally true). Those with an online profile may be more likely to have found a professional career than those who do not.

Table B. 2 in Appendix B shows that, based on characteristics that are observed for SMF participants, those who complete the university destinations survey (and have other relevant information) are more likely to take maths and a science A-level and, for the 2013 cohort, more likely to be white and live in more disadvantaged neighbourhoods on average. If these characteristics are correlated with education participation, then our estimates of the impact of the SMF programme are likely to be biased.

Table C. 1 in Appendix C shows the equivalent table for those who are found online and those who are not. Overall, there are few statistically significant differences in observable background characteristics between the two groups, although those without an online profile are less likely to have taken maths or a science A-level in some cohorts, and are more likely to have been eligible for FSM. Of course, there may be differences between the groups in ways that are unobservable to us, including how well they engaged with the SMF programme.

[^6]Second, for these estimates to reflect the causal impact of the SMF we must assume that, prior to the programme, SMF participants and our comparison group of similar young people have the same unobservable characteristics (such as motivation and desired career) on average, conditional on characteristics that are observable to us. For example, we require that young people's motivation is the same across the two groups, on average, conditional on GCSE and A-level grades. Despite the rich data available to us, there are likely to be some characteristics of SMF participants that are systematically different to the group of pupils who look most similar to them on the basis of observable characteristics in administrative data. This is because SMF participants have been sufficiently motivated (or targeted by teachers) to apply to the programme, and are perhaps more likely to have a professional career in mind prior to participation.

Table 2.1 highlights some of these characteristics. If these characteristics influence education outcomes (above the characteristics we are able to account for) and also influence the probability of participating with the SMF, the estimates we present will be biased. If they are biased, then it is more likely that the estimates are biased upwards (i.e. that the true impact of the SMF programmes is lower than the estimate we present) rather than biased downwards. This is because many of the unobservable characteristics have a positive influence on university participation and facilitating subject choice, and also have a positive influence on application to the SMF programme.

Table 2.1. Summary of individual and school characteristics used to create a credible control group

## Observable/available characteristics <br> Attainment at the end of compulsory schooling: number, subject and grade for GCSE qualifications. We construct a credible control group according to individual's best

 eight results.Attainment at post-compulsory schooling: number, subject and grade for A-level. We construct a credible control group according to all of the individual's results.

Individual deprivation: indicator for eligibility for FSM.

Neighbourhood context: rank of deprivation according to the IDACI; the proportion of adults with a university qualification; the proportion of adults with professional occupations.

Individual characteristics: ethnic group.

School characteristics: indicator for ever having a participating pupil (pupils from these schools are excluded from the potential control group).

## Unobservable/unavailable characteristics

Unes

Individual deprivation: household income.

Household context: parents' level of education; parents' aspirations.

Individual characteristics: motivation; career aspirations.

School characteristics: availability and quality of careers service; teacher involvement and encouragement.

## 3. Characteristics of the SMF cohorts

In order to contextualise our estimates of the effect of the SMF programmes, we first describe the characteristics of the pupils participating in the APP over time.

Figure 3.1 summarises the A-level attainment of SMF participants over time. Consistent with the SMF selection criteria that SMF participants must be predicted to achieve at least one A grade and two B grades, it shows that the majority of SMF participants achieve at least three A-levels at grade B or above. A non-negligible proportion of SMF participants also achieve between three A-levels at grade C and three A-levels at grade B, which suggests that some participants do not meet their predicted grades. Few participants achieve below this level, which implies that a credible control group should take attainment at A-level into account. ${ }^{9}$ There is some variation in the distribution of attainment across cohorts, with slightly lower attainment for the 2012 cohort.

Figure 3.1. Attainment at A-level, by cohort


Note: Each bar represents the percentage of the relevant SMF cohort used in the analysis that achieves the level of attainment shown on the $x$-axis. These categories are not cumulative. For example, ' 3 above $A^{\prime}$ refers to achieving at least three A grades at A-level, but not achieving as highly as three A* grades at A-level. The bars show the percentages for the common sample of SMF participants. The white diamonds show the percentages for all SMF participants (including those excluded from the common sample.) The national average among pupils who enrol in any A-level course is shown by the black diamonds.

Source: Authors' analysis using NPD-HESA data and data collected by the SMF on programme participants.

[^7]The black diamonds on the figure show the equivalent achievement for the national population of A-level pupils. SMF participants have higher achievement than the national population of A-level pupils, on average, which is consistent with the programme's eligibility criteria. Across the national population of A-level pupils, around $30 \%$ of pupils achieve between one E and three D grades at A-level, in contrast to the SMF participants where less than 3\% of each cohort achieves this level. At the other end of the distribution, around $1.5 \%$ of the national population of A-level pupils achieve at least three A* grades, compared with between $3 \%$ and $6.5 \%$ of SMF cohorts. As prior attainment strongly predicts progression to HE (Chowdry et al., 2013), this demonstrates the importance of carefully constructing a control group with similar prior attainment.

The white diamonds on the figure show the equivalent achievement for the whole SMF sample, rather than the common sample of SMF participants used in the main analysis shown in the bars. The figure shows that the distribution of attainment is roughly similar between the two groups, although there are some minor differences. The 2013 and 2014 cohorts have a higher percentage of pupils with only one A-level grade above E in the full sample compared with the common sample, for example.

The eligibility criteria for SMF programmes also include measures of individual deprivation, to reflect the SMF's aim of increasing access to professional occupations for those from disadvantaged backgrounds. Figure 3.2 summarises the background characteristics of each SMF cohort. The percentage of participants (ever) eligible for FSM increases in 2012, which is due to the change in eligibility criteria for this cohort (from contemporaneous eligibility to an expanded measure of 'ever eligible in the previous six years'). The national averages are again shown by the black diamonds on the figure. As expected, the percentage of pupils eligible for FSM (or ever eligible for FSM) is dramatically higher in the SMF sample than the national population of A-level pupils. For the 2014 cohort, for example, 67\% of SMF participants had ever been eligible for FSM, compared to $11 \%$ of the national population of A-level pupils.

The SMF sample has markedly fewer white British pupils than the whole A-level population, across all SMF cohorts. Around $25 \%$ of the SMF participants in each cohort are white British, compared to around $80 \%$ of the national A-level population. This is an important factor to account for when constructing a credible control group, as previous research shows that pupils from ethnic minority backgrounds are more likely to attend HE , conditional on their prior attainment (Crawford and Greaves, 2015).

Consistent with the geographic expansion of the SMF, the percentage of participants in London is lower in the 2013 and 2014 cohorts than in earlier cohorts (around 70\% compared with a peak of $89 \%$ for the 2011 cohort). The percentage in London remains much higher than for the national population of A-level pupils, however (around 15\%). SMF participants are more than three times more likely than the A-level population as a whole to come from the most disadvantaged 10\% of neighbourhoods (based on the IDACI), though this difference has narrowed over time. ${ }^{10}$

[^8]Figure 3.2. Background characteristics of SMF participants, by cohort


Note: 'FSM' refers to 'eligible for Free School Meals at any time in the past six years' for the 2012, 2013 and 2014 cohorts, and 'eligible for Free School Meals at the time of application' for the 2009, 2010 and 2011 cohorts. The bars show the percentages for the common sample of SMF participants. The white diamonds show the percentages for all SMF participants (including those excluded from the common sample.) The national average is shown by the black diamonds.

Source: As for Figure 3.1.
Again, these geographical factors are important to account for when constructing a credible control group, for many reasons. First, there is a correlation between neighbourhood characteristics and educational attainment (Nieuwenhuis and Hooimeijer, 2016). Second, London is likely to have distinct opportunities for work experience and proximity to many universities. In addition, the characteristics of pupils in London or the quality of London schools may also lead to higher post-compulsory education outcomes. ${ }^{11}$

SMF participants are two to three times more likely to take mathematics and science Alevel subjects than the national population of A-level pupils. This is slightly lower for the earliest and latest cohort in the sample, which may be due to missing data rather than attainment. A-level subject choice is likely to affect the subject and institution choice of the SMF participants, and so is important to account for when constructing a credible control group.

For all SMF cohorts, therefore, it is important to find a credible control group to act as the counterfactual for the participants' outcomes that is similar in prior attainment, A-level subject choice, own and neighbourhood deprivation, ethnic group and home region.

[^9]As for Figure 3.1, the common sample of SMF participants used for analysis (shown by the bars in Figure 3.2) has very similar background characteristics to the whole sample of SMF participants, shown by white diamonds. The most noticeable exception is A-level subject choice, where those in the analysis sample are more likely to have taken maths or science subjects than those excluded from the common sample. This pattern is also seen in Table B. 2 in Appendix B, where those in the common sample have slightly higher average attainment than those excluded from the common sample. This means that the estimated effects on education outcomes in our main results are for a non-random sample of SMF participants with slightly higher prior attainment than the whole sample of SMF participants. Changes in the background characteristics of the SMF participants across cohorts (for example, the decline in percentage of students from London) may influence the estimated impact of the programme(s) if participants with particular backgrounds are affected differently. We present the impact of the SMF programmes separately by cohort for this reason. Caution is again needed in interpreting these results, however: it is difficult to disentangle the changing characteristics of the participants from the changing emphasis of the programmes over time.

Figure 3.3 shows the equivalent figures for HE outcomes. Over $85 \%$ of each SMF cohort attend university in the two years following A-levels, compared to around $60 \%$ of the national population of A-level pupils. Of course, this partly reflects the high prior attainment of the SMF cohorts. Conditional on going to university, over 60\% of SMF students attend a Russell Group institution. This is a striking figure, around double the overall share of university students. There is a similar picture for those attending a 'Top $10^{\prime}$ institution that is most visited by employers each year. Again, SMF participants are around twice as likely to attend one of these institutions targeted by employers than the national population of A-level pupils that attend university. These outcomes could be affected by prior attainment, as such selective institutions are accessible mainly to those with high prior attainment.

Slightly fewer SMF students attend an institution outside their home region - around 55\% compared with around $60 \%$ of the national population of A-level pupils that attend university. This small difference could be due to the disproportionate number of SMF participants from London. Perhaps because of the variety of institutions available in London or because of cultural norms, young people from London are less likely than those elsewhere in England to move to a different region to attend university (Crawford and Greaves, 2015). This pattern is also shown in Appendix F, where high-attaining non-white students from outside London are around one-third more likely to attend a university outside their home region than equivalent students from London.

The final bars show the percentage of the SMF cohort that choose a 'business and finance' and 'law' subject, relative to the national population of A-level pupils. The percentages are very slightly higher for the SMF cohort relative to the national population of A-level pupils that attend university.

Figure 3.3. Education outcomes for SMF participants, by cohort


Note: 'Attend HE' means the student attends higher education (university) in the first or second year after A-level completion. All other outcomes are conditional on attending HE. 'Russell Group' means the student attends an institution that is part of the Russell Group. 'Top 10' means the student attends an institution that is one of the top 10 most visited by employers. 'Diff. GOR' means that the student attends an institution in a different Government Office Region to their region of residence for A-levels. The definitions for 'Business and finance' and 'Law' subjects can be found in Appendix D. The bars show the percentages for the common sample of SMF participants. The white diamonds show the percentages for all SMF participants (including those excluded from the common sample.) The national average is shown by the black diamonds.

Source: As for Figure 3.1.

### 3.1 Successful construction of a suitable comparison group

In the previous section, we showed that SMF programme participants have, on average, better educational outcomes than the national average for A-level pupils. But we also showed that SMF participants were quite different from average in dimensions such as their prior attainment, location, level of disadvantage and ethnicity. In order to get a fair estimate of the impact of the SMF programme on young people's outcomes, we need to account for the different characteristics of programme participants by constructing a suitable comparison group.

Table 3.1 shows the characteristics of the treatment and control groups after the matching process to construct the preferred control group for each cohort. It shows that the preferred control group for each cohort is very well balanced with the SMF cohort, because the pupils have very similar prior attainment, ethnicity and neighbourhood characteristics. As noted, this does not ensure that SMF participants are also very similar to our preferred control group in ways that are unobservable to us (such as their motivation), but it does ensure that they are balanced in these very important observable ways.

Table 3.1. Comparison of SMF APP participants with preferred control group

| Characteristics | 2009 cohort |  |  | 2010 cohort |  |  | 2011 cohort |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | SMF participants | Preferred control group | Difference | SMF participants | Preferred control group | Difference | SMF participants | Preferred control group | Difference |
| GCSE points (grade) (average) | 52.91 (A) | 53.01 (A) | -0.10 | 53.03 (A) | 52.99 (A) | 0.04 | 52.61 (A) | 52.72 (A) | -0.12 |
| GCSE points (grade) (lowest of best eight) | 42.26 (C) | 41.67 (D) | 0.58 | 40.93 (D) | 40.61 (D) | 0.32 | 41.91 (D) | 42.32 (C) | -0.41 |
| GCSE points (grade) (lowest of best five) | 53.09 (A) | 53.15 (A) | -0.06 | 53.14 (A) | 53.17 (A) | -0.03 | 52.75 (A) | 52.85 (A) | -0.10 |
| GCSE points (grade) in English | 52.35 (A) | 52.62 (A) | -0.27 | 52.28 (A) | 52.29 (A) | 0.00 | 51.46 (B) | 51.84 (B) | -0.37 |
| GCSE points (grade) in maths | 53.93 (A) | 54.05 (A) | -0.12 | 53.85 (A) | 54.04 (A) | -0.20 | 53.67 (A) | 54.20 (A) | -0.53* |
| A-level points (grade) (average) | 113.08 (B) | 113.29 (B) | -0.21 | 112.68 (B) | 112.54 (B) | 0.14 | 109.06 (B) | 109.51 (B) | -0.45 |
| Take A-level in maths (prop.) | 0.59 | 0.58 | 0.01 | 0.68 | 0.67 | 0.01 | 0.67 | 0.66 | 0.01 |
| Take a science A-level (prop.) | 0.52 | 0.52 | 0.00 | 0.65 | 0.66 | -0.01 | 0.68 | 0.69 | -0.01 |
| Eligible for FSM (prop.) | 0.39 | 0.38 | 0.01 | 0.26 | 0.26 | -0.01 | 0.29 | 0.29 | 0.00 |
| White British ethnic group (prop.) | 0.27 | 0.27 | 0.00 | 0.25 | 0.25 | 0.00 | 0.20 | 0.21 | 0.00 |


| Neighbourhood deprivation decile (IDACI) | 8.15 | 8.10 | 0.05 | 8.15 | 8.15 | 0.00 | 8.22 | 8.22 | 0.00 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| \% in neighbourhood: |  |  |  |  |  |  |  |  |  |
| Own/mortgage for home | 26.41 | 28.65 | -2.24 | 29.08 | 29.48 | -0.39 | 28.78 | 29.36 | -0.57 |
| Professional occupation | 20.99 | 21.08 | -0.09 | 21.59 | 21.57 | 0.02 | 21.19 | 21.21 | -0.02 |
| Degree | 11.27 | 11.40 | -0.13 | 10.46 | 10.51 | -0.05 | 10.57 | 10.61 | -0.04 |
| A-level: three above C (prop.) | 0.13 | 0.13 | 0.00 | 0.11 | 0.11 | 0.00 | 0.19 | 0.19 | -0.01 |
| A-level: three above B (prop.) | 0.38 | 0.39 | -0.02 | 0.39 | 0.40 | -0.01 | 0.40 | 0.39 | 0.01 |
| A-level: three above A (prop.) | 0.31 | 0.30 | 0.01 | 0.36 | 0.36 | 0.00 | 0.29 | 0.29 | -0.01 |
| A-level: three above A* (prop.) | 0.11 | 0.11 | 0.00 | 0.07 | 0.06 | 0.01 | 0.05 | 0.05 | 0.00 |
| London region (prop.) | 0.82 | 0.81 | 0.01 | 0.77 | 0.77 | 0.00 | 0.89 | 0.89 | 0.00 |


| Characteristics | 2012 cohort |  |  | 2013 cohort |  |  | 2014 cohort |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | SMF participants | Preferred control group | Difference | SMF participants | Preferred control group | Difference | SMF participants | Preferred control group | Difference |
| GCSE points (grade) (average) | 52.39 (A) | 52.54 (A) | -0.15 | 52.36 (A) | 52.29 (A) | 0.07 | 52.13 (A) | 52.04 (A) | 0.09 |
| GCSE points (grade) (lowest of best eight) | 35.95 (D) | 35.47 (D) | 0.48 | 38.26 (D) | 37.80 (D) | 0.46 | 37.89 (D) | 37.32 (D) | 0.56 |
| GCSE points (grade) (lowest of best five) | 51.55 (B) | 51.79 (B) | -0.24 | 51.95 (B) | 51.91 (B) | 0.04 | 51.81 (B) | 51.54 (B) | 0.26 |
| GCSE points (grade) in English | 51.36 (B) | 51.34 (B) | 0.02 | 51.34 (B) | 51.32 (B) | 0.02 | 51.58 (B) | 51.48 (B) | 0.10 |
| GCSE points (grade) in maths | 53.66 (A) | 54.41 (A) | -0.76** | 53.06 (A) | 53.57 (A) | -0.51 | 53.36 (A) | 53.17 (A) | 0.20 |
| A-level points (grade) (average) | 108.73 (B) | 108.75 (B) | -0.02 | 110.07 (B) | 109.56 (B) | 0.51 | 107.31 (B) | 107.02 (B) | 0.29 |
| Take A-level in maths (prop.) | 0.73 | 0.75 | -0.01 | 0.62 | 0.63 | 0.00 | 0.51 | 0.52 | 0.00 |
| Take a science A-level (prop.) | 0.71 | 0.71 | 0.00 | 0.62 | 0.62 | 0.00 | 0.55 | 0.55 | 0.00 |
| Ever eligible for FSM (prop.) | 0.55 | 0.57 | -0.02 | 0.63 | 0.63 | 0.00 | 0.68 | 0.67 | 0.00 |
| White British ethnic group (prop.) | 0.17 | 0.17 | 0.00 | 0.27 | 0.27 | 0.00 | 0.26 | 0.24 | 0.02 |


| Neighbourhood deprivation decile (IDACI) | 8.51 | 8.48 | 0.03 | 8.15 | 8.22 | -0.07 | 7.95 | 8.01 | -0.06 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| \% in neighbourhood: |  |  |  |  |  |  |  |  |  |
| Own/mortgage for home | 27.18 | 27.77 | -0.58 | 28.00 | 27.74 | 0.26 | 27.20 | 28.02 | -0.82 |
| Professional occupation | 20.10 | 20.04 | 0.06 | 19.35 | 18.93 | 0.42 | 19.25 | 18.94 | 0.30 |
| Degree | 10.41 | 10.32 | 0.09 | 10.98 | 11.06 | -0.08 | 10.63 | 10.52 | 0.12 |
| A-level: three above C (prop.) | 0.13 | 0.15 | -0.02 | 0.18 | 0.19 | -0.01 | 0.17 | 0.17 | 0.00 |
| A-level: three above B (prop.) | 0.37 | 0.36 | 0.01 | 0.36 | 0.36 | 0.00 | 0.37 | 0.36 | 0.01 |
| A-level: three above A (prop.) | 0.41 | 0.39 | 0.01 | 0.31 | 0.29 | 0.01 | 0.27 | 0.27 | 0.01 |
| A-level: three above A* (prop.) | 0.03 | 0.03 | 0.00 | 0.07 | 0.07 | 0.00 | 0.06 | 0.05 | 0.00 |
| London region (prop.) | 0.83 | 0.82 | 0.00 | 0.68 | 0.68 | 0.00 | 0.68 | 0.68 | 0.00 |

Note: *, ** and *** denote statistical significance at the $10 \%, 5 \%$ and $1 \%$ levels, respectively. A common sample is imposed: individuals are included if KS4 and KS5 results are reported, and university destination and subject choice is known. A higher IDACI decile corresponds to a more deprived area. 'Prop.' refers to proportion (between 0 and 1 ).

## 4. Estimated impact of SMF participation on university participation

Figures 4.1-4.7 show education outcomes of each SMF cohort to our preferred (cohortspecific) control group (described in Chapter 3). The difference in education outcomes between the SMF cohort and this group of pupils is represented by the bars in each panel. Figures 4.2-4.7 have two sets of bars. For these figures, the first set of bars shows the results without conditioning on attending HE: the outcomes for the SMF cohort compared with the whole control group, whether or not they attend HE. The second set of bars shows the results conditional on attending HE: the outcomes for the SMF cohort compared with the control group that attends HE.

Figure 4.1. Estimated impact of SMF programmes on university participation at age 18 or 19


Note: A common sample is imposed: SMF participants are included if KS4 and KS5 results are reported, and university destination and subject choice are known. Russell Group participation, 'Top 10' participation and subject choice outcomes are defined only for pupils who go on to university at age 18. The preferred control group is defined from PSM using nearest-neighbour matching according to the following characteristics: eligibility for FSM in the past six years, ethnic group, London region, local area characteristics (percentage of adults with professional occupations and degree-level qualifications; IDACI decile) and prior attainment (lowest grade at GCSE from five subjects; GCSE mean grade points; A-level mean grade points; whether three C/B/A/A* grades achieved at A-level; whether the following subjects - a science A-level, A-level maths, A-level English, GCSE English and GCSE maths - were taken and, for GCSE subjects and A-level English, whether the pupil received an A grade if so). Matching characteristics for the 2012 cohort exclude neighbourhood characteristics as address information is largely missing for this cohort. The mean of the dependent variable for the control group is: $76.5 \%$ for 2009; 83.6\% for 2010; 79.9\% for 2011; 78.0\% for 2012; 78.3\% for 2013; 79.4\% for 2014.

The statistical significance of the difference in outcomes between the SMF cohort and the control group is represented by the confidence interval (black line) centred around the top of each bar. Where the difference is statistically significant from zero, the confidence interval does not cross zero. ${ }^{12}$ This means that we can be confident that the difference between the two groups is not zero. ${ }^{13}$

Relative to this preferred control group, Figure 4.1 shows that SMF participants were significantly more likely to attend university for all of the cohorts we consider. The size of this impact ranges from 8.2 percentage points (in 2011) to 17.7 percentage points (in 2009). These are substantial effect sizes on top of an already-high level of university attendance in the comparison group (around $80 \%$ across all cohorts). For example, across our cohorts of interest, there is around an 8 percentage point difference in the national population in the probability of attending university between those achieving at least three B grades ( $81 \%$ ) and at least three A* grades at A-level ( $88 \%$ ) (see Appendix F). The impact of the SMF programmes on increasing university participation is therefore roughly equivalent to increasing attainment for all pupils to at least three A* grades at A-level from at least three B grades.

Among the majority of students who do attend university one or two years after finishing A-levels, there is also some evidence that the SMF programmes have increased participants' chances of attending high-status institutions. Conditional on attending HE , we estimate that the SMF programmes had a positive impact on the chances of attending a Russell Group university, but these effects are only statistically significant for the 2010, 2013 and 2014 cohorts, with other cohorts aside from 2012 close to statistical significance. Unconditionally on HE attendance, the findings are generally positive although not statistically significant. Overall, given the prestige of attending a Russell Group institution (around a quarter of all those who attend university attend a Russell Group institution), these findings are sizeable and impressive, despite lacking statistical power. This size of the impact shown in Figure 4.2 for many cohorts would eliminate the difference in Russell Group participation between white students with at least three A grades at A-level, eligible or not for FSM ( $61 \%$ versus $66 \%$ ). There is a strong gradient of Russell Group attendance by A-level attainment. For our cohorts of interest, Appendix F shows that $91 \%$ of those with at least three A* grades at A-level attend a Russell Group institution (conditional on going to university). This falls to $81 \%$ of those with at least three A grades, and $65 \%$ for those with at least three B grades. An impact of around 5 percentage points is therefore roughly the same as half the difference between those with at least three A grades and at least three A* grades, conditional on attending HE.

[^10]Figure 4.2. Estimated impact of SMF programmes on Russell Group university participation at age 18 or 19


Note: See note to Figure 4.1. We present the results from two specifications. The first considers all SMF and control group students, whether or not they attended HE ('unconditional'). This reflects the overall impact of the SMF programme, including both its impacts on encouraging pupils to attend HE and its impacts on their choices once they get there. The second ('conditional') limits the analysis only to students who chose to attend HE, and so analyses how choices made at university differ between SMF and control group students. The mean of the dependent variable for the control group (unconditional on attending HE) is: 57.4\% for 2009; 56.8\% for 2010; $55.9 \%$ for $2011 ; 52.1 \%$ for $2012 ; 7.0 \%$ for $2013 ; 54.9 \%$ for 2014.The mean of the dependent variable for the control group (conditional on attending HE) is: $61.4 \%$ for 2009; $61.1 \%$ for $2010 ; 60.0 \%$ for $2011 ; 61.0 \%$ for $2012 ; 58.7 \%$ for 2013; 57.2\% for 2014.

In contrast, there is more limited evidence that the SMF programmes increased participation in universities most frequently visited by top employers (Figure 4.3). The estimated effect is positive and close to statistically significant for the 2014 cohort only, conditional and unconditional on attending university. As for Russell Group participation, there is a strong gradient in access to Top 10 institutions by A-level attainment. For our cohorts of interest, Appendix F shows that 77\% of those with at least three A* grades at Alevel attend a Top 10 institution (conditional on going to university). This falls to $53 \%$ of those with at least three A grades, and $34 \%$ for those with at least three B grades. The estimated impact of the SMF programmes for the 2014 cohort is therefore roughly onequarter of the impact of increasing A-level grades to a minimum of three A grades from three B grades for the cohort.

SMF participation does not appear to increase or decrease regional mobility between school and university, relative to the carefully chosen control group, aside from the 2012 cohort (see Figure 4.4). For this cohort, unconditional on attending HE, the SMF participants are 8.4 percentage points more likely to attend university in a different region. Conditional on attending HE , the estimated impact is positive but not statistically significant for later SMF cohorts. Overall, there is positive suggestive, but not conclusive, evidence that SMF cohorts may be more geographically mobile than their carefully constructed control group.

SMF programmes do not explicitly aim to affect subject choice, but formal or informal elements of the programmes may affect subject choice (for example, advice from mentors). Figures 4.5-4.7 consider the differences in subject choice between our preferred control group and the different SMF cohorts. Again, these outcomes are given unconditionally and conditional on going to university. Across both sets of outcomes, the patterns between unconditional and conditional results are similar. Overall, we find relatively limited evidence of impacts on subject choice, and the effects for business and finance, and law are often statistically insignificant and change sign from one cohort to the next. However, there is somewhat stronger evidence that the SMF programmes increased enrolment in politics courses at university; this appears to be especially true in later cohorts, when the effects are both larger and more statistically significant.

Figure 4.3. Estimated impact of SMF programmes on Top 10 university participation at age 18 or 19


Note: See note to Figures 4.1 and 4.2. The mean of the dependent variable for the control group (unconditional on attending HE) is: $31.4 \%$ for 2009; $30.6 \%$ for $2010 ; 29.4 \%$ for $2011 ; 27.3 \%$ for $2012 ; 32.2 \%$ for $2013 ; 28.7 \%$ for 2014. The mean of the dependent variable for the control group (conditional on attending HE) is: $31.4 \%$ for 2009; 31.2\% for 2010; 31.7\% for 2011; 32.0\% for 2012; 33.2\% for 2013; 30.1\% for 2014.

Figure 4.4. Estimated impact of SMF programmes on regional mobility in university participation at age 18 or 19


Note: See note to Figures 4.1 and 4.2. Regional mobility is an indicator for attending a university outside the student's home region. The mean of the dependent variable for the control group (unconditional on attending HE) is: $50.1 \%$ for $2009 ; 53.8 \%$ for $2010 ; 51.5 \%$ for $2011 ; 41.4 \%$ for $2012 ; 48.5 \%$ for $2013 ; 48.6 \%$ for 2014 . The mean of the dependent variable for the control group (conditional on attending HE) is: $51.2 \%$ for 2009; $56.3 \%$ for 2010; 52.8\% for 2011; 48.7\% for 2012; 54.2\% for 2013; 51.8\% for 2014.

Figure 4.5. Estimated impact of SMF programmes on subject choice: business and finance at age 18 or 19, conditional on attending university


Note: See note to Figure 4.1. The mean of the dependent variable for the control group (unconditional on attending HE) is: $14.3 \%$ for 2009; $11.9 \%$ for $2010 ; 11.6 \%$ for $2011 ; 9.9 \%$ for $2012 ; 9.8 \%$ for $2013 ; 10.7 \%$ for 2014.The mean of the dependent variable for the control group (conditional on attending HE ) is: $15.4 \%$ for $2009 ; 12.9 \%$ for 2010; 12.6\% for 2011; 12.0\% for 2012; 11.4\% for 2013; 11.2\% for 2014.

Figure 4.6. Estimated impact of SMF programmes on subject choice: law at age 18 or 19, conditional on attending university


Note: See note to Figure 4.1. The mean of the dependent variable for the control group (unconditional on attending HE) is: $7.2 \%$ for 2009; $5.2 \%$ for 2010; $4.0 \%$ for $2011 ; 4.6 \%$ for 2012; $5.0 \%$ for $2013 ; 6.7 \%$ for 2014. The mean of the dependent variable for the control group (conditional on attending HE) is: $7.5 \%$ for $2009 ; 5.8 \%$ for 2010; 4.9\% for 2011; 5.1\% for 2012; 6.3\% for 2013; 7.8\% for 2014.

Figure 4.7. Estimated impact of SMF programmes on subject choice: politics at age 18 or 19 , conditional on attending university


Note: See note to Figure 4.1. The mean of the dependent variable for the control group (unconditional on attending HE) is: $3.1 \%$ for 2009; 2.4\% for 2010; $2.6 \%$ for 2011; $1.9 \%$ for 2012; 2.9\% for 2013; 3.3\% for 2014. The mean of the dependent variable for the control group (conditional on attending HE) is: $2.6 \%$ for $2009 ; 2.6 \%$ for 2010; 2.6\% for 2011; 2.0\% for 2012; 2.8\% for 2013; 3.5\% for 2014.

## 5. Differences in outcomes across SMF programmes

The SMF has run a variety of programmes from the 2012 cohort onwards. The Aspiring Professionals Programme (APP) was the predominant programme across all cohorts, with residential programmes expanding over time. Around 40 pupils participated in the J.P. Morgan residential programme in the 2012 cohort, expanding to Jacobs, KPMG and Linklaters residential programmes in 2014. The Whitehall programme supported around 60 pupils in the 2012 cohort and around 90 in the 2013 and 2014 cohorts.

It is interesting to consider whether student outcomes for these different programmes are different from the core APP. This evaluation problem is even harder than for the evaluation of SMF programmes as a whole, as pupils sign up for residential programmes in their area of interest. Although a researcher could find a comparison group of pupils with similar observable background characteristics, administrative data do not let us observe - or match on - these specific interests. The small sample sizes are an additional problem when exploring the independent impact of residential SMF programmes.

To give some flavour of the outcomes for SMF participants across programmes, Figure 5.1 shows the HE outcomes for those on the main APP and those on the Whitehall (in white diamonds) and J.P. Morgan (in black diamonds) residential programmes. The smaller programmes are only included where there are more than 20 participants per programme in the common sample. These are descriptive statistics only; because of the additional evaluation challenges, we have not made any attempt to construct a credible comparison group as we did in Chapter 4.

There are similar rates of progression to HE in the two years following A-levels across all cohorts and programmes, of over $80 \%$. Conditional on going to university, over 60\% of SMF students on the APP attend a Russell Group institution. As we saw in Figure 3.1, this is around double the percentage of the national population of A-level pupils (who attend university) that attend such high-status institutions each year. However, the percentage is even higher for those on the Whitehall and J.P. Morgan residential programme: the 2013 Whitehall programme and the 2014 J.P. Morgan programme cohorts each saw around $80 \%$ of participants attend a Russell Group institution, conditional on attending university.

Those participating in the J.P. Morgan programme have a markedly higher percentage of students attending a Top 10 institution, which are those most visited by employers each year. Those on the Whitehall programme are more similar to the APP for this outcome, which, as we saw in Figure 3.1, was around twice as likely as those from the national population of A-level pupils who attend university.

Taken together, these descriptive statistics strongly suggest that pupils on the two residential programmes were more likely than participants in the APP (and much more likely than A-level pupils as a whole) to attend high-status institutions. However, we cannot conclude from these statistics whether the residential programme itself caused these different outcomes (for example, through better mentoring or links to higher-status institutions), or whether these outcomes reflect the underlying differences in who was eligible for and who chose to opt into these particular programmes.

Figure 5.1. Education outcomes for SMF participants, by cohort and programme


Note: Bars show the outcomes of SMF participants on the APP. 'Attend HE' means the student attends higher education (university) in the first or second year after A-level completion. All other outcomes are conditional on attending HE. 'Russell Group' means the student attends an institution that is part of the Russell Group. 'Top 10' means the student attends an institution that is one of the top 10 most visited by employers. 'Diff. GOR' means that the student attends an institution in a different Government Office Region to their region of residence for Alevels. The definitions for 'Business and finance' and 'Law' subjects can be found in Appendix D. The bars show the percentages for the common sample of SMF participants on the APP. The white and black diamonds show the percentages for all SMF participants on the Whitehall and J.P. Morgan residential programmes, respectively

The final bars show the percentage of the SMF cohort that chose a business and finance, law, and politics subject. Pupils on the J.P. Morgan residential programme were more likely than those on the APP to take a course defined as business and finance. This is likely to reflect, in part, the pre-existing interests of the pupils; however, it is possible that the experience of and guidance through the programme also had some role. There are no noticeable differences for law, and few for politics, where the exception is the 2014 cohort on the Whitehall residential programme.

## 6. Alternative comparison groups

There have been significant delays to this evaluation due to challenges in accessing the appropriate data. Organisations may need to use alternative comparison groups based on less precise, but easier to access, national statistics if they are not able to make the substantial time investment into waiting for individual-level data to construct comparison groups. For this reason, this section presents the average HE outcomes for alternative comparison groups of students in Appendix F. Organisations can choose which group of students is most similar to their participants to act as a 'rough and ready' counterfactual group. For example, if a programme was designed to serve high-achieving (at least three A grades at A-level) non-white pupils eligible for FSM from London, Table F. 1 shows that counterfactual outcomes (the average HE outcomes for this group) are (for the 2014 cohort): $85 \%$ attend university in the first two years after A-levels, and of these students, $76 \%$ attend a Russell Group institution, $52 \%$ attend a Top 10 institution, and $71 \%$ attend an institution outside their home region of London.

## 7. Conclusions

Improving social mobility is a priority for the current government, ${ }^{14}$ and there is great interest in the effectiveness of programmes designed to improve education outcomes for relatively disadvantaged young people in the UK.

Participation in one of the SMF programmes significantly increased attendance at university, between 8.5 percentage points (in 2010 and 2011) and 18 percentage points (in 2009). This is an economically meaningful impact, given the already high rate of attendance for young people with good A-level results across social backgrounds (Chowdry et al., 2013). There is some suggestion that subject choice was also affected, amongst those who go to university, with some increases in the likelihood of studying business and finance, and politics, in particular.

Conditional on attending university, there is no consistent evidence that the SMF programmes improved participation at high-status institutions defined both by Russell Group status and the frequency of visits by top employers. Participation at a Russell Group institution is consistently higher for SMF participants than their preferred control group, but not statistically significantly so.

This report has demonstrated the data and methods that can be used to evaluate the impact of the SMF programmes by using administrative data to create a reasonable comparison group. Such methods could also potentially be adopted to evaluate the impact of other programmes with clear eligibility criteria and reasonably rich data on participants, but without access to an experimental control group. Where timely research is required, however, alternative, coarser comparison groups (such as those presented in Chapter 6) may be appropriate.

Our approach has been to construct a credible group of pupils to represent the 'counterfactual' outcomes for the SMF participants (or the likely outcomes for this group in the absence of the SMF programmes). To do so, we used a range of observable characteristics (including eligibility for FSM, prior attainment and subject choice, and neighbourhood characteristics) to select similar non-participants and we 're-weighted' the individuals in this comparison group to 'look' as similar as possible to SMF participants. This matching process worked well: our preferred comparison group has similarly high levels of prior attainment and background characteristics, similar A-level subject choice and neighbourhood context to the SMF cohorts.

There are limitations to analysis of this kind, however, which must be borne in mind. First, it is not possible to construct a group of similar pupils in terms of unobservable characteristics such as motivation or desired professional career prior to participation on an SMF programme. Despite the rich data available to us, there are likely to be some characteristics of SMF participants that are systematically different to the group of pupils who look most similar on the basis of observable characteristics. This is because SMF participants have been sufficiently motivated to apply to the programme, and are perhaps more likely to have a professional career in mind prior to participation.

[^11]Second, our estimates rely on the outcomes we observe for SMF participants being representative of SMF participants as a whole. This is unlikely to hold in practice, as SMF participants included in the analysis (those who respond to the SMF survey on university destinations and/or were captured in an online search) differ in observable ways from SMF non-respondents, as outlined in Table B.2. For example, where outcomes are observed, SMF participants had significantly higher attainment at GCSE and A-level than those not observed, and are much more likely to take maths or science at A-level. If these characteristics are correlated with education participation, then our estimates of the impact of the SMF programmes are likely to be biased. It must also be considered that the participants in the sample found through online searches are potentially more likely to have ended up in professional occupations than those without an online profile.

It is not possible to assess the scale of any potential bias, but if the estimates are biased, then it is likely that they are biased upwards (i.e. higher than the true impact of the SMF programme). Notwithstanding these concerns, given the magnitude of the estimated effects, it is likely that the programme has a positive effect on the likelihood of attending university. This is a striking finding given the SMF's focus on targeting high-attaining pupils, and is likely to lead to improved employment outcomes.

There is concerning evidence, however, that even attending a high-status institution with high grades does not lead to equal employment outcomes for those from more and less affluent backgrounds (Crawford et al., 2016). These authors conclude that:
'While much of the policy emphasis to date has focused on widening access to university, including to high-status institutions, our research highlights a need for policy intervention throughout the student lifecourse, to ensure equality of opportunity and outcomes for those from different socio-economic backgrounds.'

Programmes such as the Social Mobility Foundation therefore have a crucial role in striving to equalise opportunities for those from more and less advantaged backgrounds.

## Appendix A. Propensity score matching

Propensity score matching relies on constructing a suitable comparison group on the basis of a wide range of characteristics that are observable to the researcher (i.e. available in the data at their disposal). The key assumptions underlying this approach are as follows. First, it must be assumed that, conditional on all observable characteristics included in the model, the outcomes for the treatment and comparison groups would be identical in the absence of the intervention; this is known as the conditional independence assumption (CIA). Second, there must be some degree of common support between the characteristics of pupils in the treatment and comparison areas (i.e. there must be some individuals in the comparison group who 'look' like the individuals in the treatment group); otherwise it will be impossible to find a suitable match for these individuals.

For the CIA to hold, the researcher must be able to observe all of the characteristics that are relevant both for determining whether the individual is in the treatment group or comparison group and for determining the outcomes of interest. This means that the availability and selection of characteristics on which to match is crucial to the likelihood of the CIA holding. On the one hand, the larger the number of characteristics that must be included in the model, the better the researcher accounts for differences between the treated and comparison groups; on the other hand, the harder it becomes to find a perfect match for each individual. One way to get around this problem is to estimate a propensity score, which is a simple way of summarising an individual's characteristics into their propensity to be treated. This means that, rather than finding an exact match for each individual in the treatment group in terms of all of their observable characteristics, similar individuals can be found in terms of this summary propensity score.

The propensity score is simply the predicted probability of treatment from a discrete choice model where the dependent variable is a binary variable equal to one if the individual is in the treatment group, and to zero if they are in the comparison group. All characteristics that are thought to predict either the likelihood of treatment or the outcomes of interest should be included in the model.

Once the propensity score has been estimated, individuals in the comparison group are weighted according to how closely matched they are to each individual in the treatment group. There are a number of different approaches to undertaking this weighting process, for example, giving weight only to those individuals in the comparison group that are closest in absolute terms to a particular individual in the treatment group (nearestneighbour matching), allocating a fixed weight to all individuals within a certain absolute distance (radius matching), or allocating weight depending on how close they are to each individual in the treatment group (weighted smoothed matching).

## Appendix B. Information available about the SMF participants

Table B.1. Available information about SMF participants

| Characteristics | $\begin{gathered} 2009 \\ \text { SMF } \\ \text { cohort } \end{gathered}$ | $\begin{gathered} 2010 \\ \text { SMF } \\ \text { cohort } \end{gathered}$ | $\begin{gathered} 2011 \\ \text { SMF } \\ \text { cohort } \end{gathered}$ | $\begin{gathered} 2012 \\ \text { SMF } \\ \text { cohort } \end{gathered}$ | 2013 <br> SMF <br> cohort | $\begin{gathered} 2014 \\ \text { SMF } \\ \text { cohort } \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Eligibility for FSM | Yes | Yes | Yes | No | Yes | Yes |
| Eligibility for EMA | Yes | Yes | Yes | No | No | No |
| Ethnic group | Yes | Yes | Yes | Yes | Yes | Yes |
| Parents' education | Yes | Yes | Yes | Yes | Yes | Yes |
| School name | Yes | Yes | Yes | Yes | Yes | Yes |
| Postcode | Yes | Yes | Yes | Yes | Yes | Yes |
| Parents' occupation | $\begin{gathered} \text { Yes } \\ \text { (subset)* } \end{gathered}$ | To follow | To follow | Yes | Yes | Yes |
| Household income | No | No | No | Yes | Yes | Yes |
| GCSE grades | Yes | Yes | Yes | Yes | Yes | Yes |
| A-level choices and grades | Yes | Yes | Yes | Yes | Yes | Yes |
| University participation | Yes | Yes | Yes | Yes | Yes | Yes |
| University chosen | Yes | Yes | Yes | Yes | Yes | Yes |
| Course chosen | Yes | Yes | Yes | Yes | Yes | Yes |
| Degree outcome | Yes* | Yes* | Yes* | Yes* | Yes* | Yes* |
| Employment status | Yes* | Yes* | Yes* | Yes* | Yes* | Yes* |
| Sector of employment | Yes* | Yes* | Yes* | Yes* | Yes* | Yes* |
| Salary | Yes* | Yes* | Yes* | Yes* | Yes* | Yes* |

Note: * We observe this information for the subset of the cohort that responded to an SMF survey of their employment following graduation.

Table B.2. Comparison of SMF participants included and excluded from analysis due to missing data

| Characteristics | 2009 |  |  | 2010 |  |  | 2011 |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Included | Excluded | Difference | Included | Excluded | Difference | Included | Excluded | Difference |
| GCSE points (grade) (average) | 52.9 (A) | 52.2 (A) | 0.691* | 53.0 (A) | 51.7 (B) | 1.284*** | 52.6 (A) | 51.6 (B) | 0.958*** |
| GCSE points (grade) (lowest of best eight) | 49.9 (B) | 48.9 (B) | 0.998 | 49.4 (B) | 47.5 (B) | 1.809*** | 49.0 (B) | 48.2 (B) | 0.817 |
| GCSE points (grade) (lowest of best five) | 53.1 (A) | 52.5 (A) | 0.609 | 53.4 (A) | 51.6 (B) | 1.806*** | 52.7 (A) | 51.7 (B) | 1.065*** |
| GCSE points (grade) in English | 52.4 (A) | 52.1 (A) | 0.236 | 52.2 (A) | 50.3 (B) | 1.947*** | 51.5 (B) | 50.9 (B) | 0.561 |
| GCSE points (grade) in maths | 53.9 (A) | 53.3 (A) | 0.571 | 53.8 (A) | 51.8 (B) | 2.006*** | 53.6 (A) | 52.7 (A) | 0.863** |
| A-level points (grade) (average) | 113.1 (B) | 105.5 (B) | 7.630** | 112.6 (B) | 111.4 (B) | 1.221 | 108.9 (B) | 100.2 (B) | 8.737** |
| Take A-level in maths (\%) | 58.3 | 12.4 | 46*** | 67.3 | 8 | 59.3*** | 67.2 | 12.4 | 54.8*** |
| Take a science A-level (\%) | 51.5 | 7.2 | 44.3*** | 64.3 | 9.8 | 54.5*** | 67.4 | 13.3 | 54.1*** |
| Eligible for FSM | 38.7 | 46.9 | -8.1 | 26.3 | 28.6 | -2.2 | 29.7 | 33.6 | -3.9 |
| White British ethnic group | 26 | 16.5 | 9.5* | 24.6 | 25 | -0.4 | 19.7 | 15.9 | 3.8 |
| IDACI decile | 8.3 | 8.6 | -0.362 | 8.2 | 8.4 | -0.196 | 8.3 | 8.5 | -0.199 |
| \% in neighbourhood: |  |  |  |  |  |  |  |  |  |
| Own/mortgage for home | 26.1 | 28.1 | -2.055 | 29.1 | 27.3 | 1.769 | 28.8 | 24.6 | 4.221*** |
| Professional occupation | 11 | 10.6 | 0.365 | 11.2 | 10.3 | 0.884 | 11.5 | 10.8 | 0.718 |
| Degree | 11.2 | 10.2 | 1.018* | 10.5 | 10.5 | -0.007 | 10.6 | 10.2 | 0.422 |


| Characteristics | 2012 |  |  | 2013 |  |  | 2014 |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Included | Excluded | Difference | Included | Excluded | Difference | Included | Excluded | Difference |
| GCSE points (grade) (average) | 52.5 (A) | 51.3 (B) | 1.171*** | 52.3 (A) | 51.4 (B) | 0.831*** | 52.1 (A) | 51.7 (B) | 0.368 |
| GCSE points (grade) (lowest of best eight) | 48.5 (B) | 47.1 (B) | 1.429*** | 48.6 (B) | 47.9 (B) | 0.734 | 48.6 (B) | 48.6 (B) | 0.036 |
| GCSE points (grade) (lowest of best five) | 52.5 (A) | 51.4 (B) | 1.079*** | 52.4 (A) | 51.5 (B) | 0.928** | 52.1 (A) | 52.0 (B) | 0.17 |
| GCSE points (grade) in English | 51.4 (B) | 50.3 (B) | 1.067** | 51.3 (B) | 50.6 (B) | 0.740* | 51.5 (B) | 50.8 (B) | 0.729** |
| GCSE points (grade) in maths | 53.6 (A) | 51.8 (B) | 1.801*** | 53.1 (A) | 52.4 (A) | 0.68 | 53.3 (A) | 53.5 (A) | -0.14 |
| A-level points (grade) (average) | 108.8 (B) | 109.9 (B) | -1.011 | 109.2 (B) | 108.3 (B) | 0.852 | 106.9 (B) | 104.1 (B) | 2.844 |
| Take A-level in maths (\%) | 72.6 | 47.7 | 24.8*** | 61.8 | 54.9 | 6.9* | 50.2 | 17.3 | 33*** |
| Take a science A-level (\%) | 69.5 | 49.7 | 19.8*** | 62.6 | 48.6 | 14*** | 55.6 | 15.9 | 39.7*** |
| Eligible for FSM | 56.1 | 50.3 | 5.8 | 62.6 | 66 | -3.4 | 66.6 | 71.6 | -5 |
| White British ethnic group | 17.3 | 37.4 | -20.1*** | 25.8 | 29.6 | -3.9 | 24.2 | 27 | -2.8 |
| IDACI decile | 8.6 | 7.9 | 0.607*** | 8.2 | 7.7 | 0.473** | 8 | 8 | 0.005 |
| \% in neighbourhood: |  |  |  |  |  |  |  |  |  |
| Own/mortgage for home | 27.2 | 31.1 | -3.946*** | 28 | 29.5 | -1.537 | 27.3 | 28.7 | -1.465 |
| Professional occupation | 10.3 | 10.4 | -0.079 | 9.5 | 10.3 | -0.781 | 10 | 10 | 0.036 |
| Degree | 10.4 | 10.7 | -0.258 | 10.9 | 10.7 | 0.274 | 10.7 | 10.6 | 0.058 |

[^12]
## Appendix C. Comparison of online and survey-only sample

Table C.1. Comparison of online and survey-only samples

| Characteristics | 2009 |  |  | 2010 |  |  | 2011 |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Online | Survey | Difference | Online | Survey | Difference | Online | Survey | Difference |
| GCSE points (grade) (average) | 52.9 (A) | 52.2 (A) | 0.728 | 53.2 (A) | 51.9 (B) | 1.277*** | 52.7 (A) | 52.3 (A) | 0.411 |
| GCSE points (grade) (lowest of best eight) | 50.0 (B) | 48.8 (B) | 1.213 | 49.5 (B) | 48.4 (B) | 1.043 | 49.1 (B) | 48.4 (B) | 0.783 |
| GCSE points (grade) (lowest of best five) | 53.2 (A) | 51.6 (B) | 1.556 | 53.5 (A) | 52.2 (A) | 1.290** | 52.8 (A) | 52.2 (A) | 0.608 |
| GCSE points (grade) in English | 52.3 (A) | 53.1 (A) | -0.804 | 52.4 (A) | 50.6 (B) | 1.817*** | 51.7 (B) | 50.1 (B) | 1.577** |
| GCSE points (grade) in maths | 54.0 (A) | 52.4 (A) | 1.614 | 54.0 (A) | 53.0 (A) | 0.986 | 53.8 (A) | 52.4 (A) | 1.450** |
| A-level points (grade) (average) | 113.6 (B) | 107.6 (B) | 5.988 | 114.6 (B) | 99.0 (C) | 15.559*** | 110.0 (B) | 102.3 (B) | 7.673*** |
| Take A-level in maths (\%) | 58.5 | 56.3 | 2.3 | 68.8 | 57.1 | 11.6 | 66.7 | 70.4 | -3.7 |
| Take a science A-level (\%) | 51.6 | 50 | 1.6 | 63.9 | 67.3 | -3.5 | 65.6 | 77.8 | -12.2** |
| Eligible for FSM | 36.7 | 62.5 | -25.8* | 27.2 | 20.4 | 6.8 | 28.5 | 37 | -8.6 |
| White British ethnic group | 27.1 | 12.5 | 14.6 | 22.8 | 36.7 | -13.9* | 20.6 | 14.8 | 5.8 |
| IDACI decile | 8.2 | 8.4 | -0.197 | 8.2 | 7.8 | 0.442 | 8.2 | 8.3 | -0.097 |
| \% in neighbourhood: |  |  |  |  |  |  |  |  |  |
| Own/mortgage for home | 26.4 | 22.2 | 4.252 | 28.6 | 32.4 | -3.796* | 28.9 | 28.6 | 0.217 |
| Professional occupation | 10.9 | 12 | -1.177 | 10.8 | 13.5 | -2.657* | 11.6 | 10.9 | 0.755 |
| Degree | 11.2 | 11.9 | -0.759 | 10.4 | 10.8 | -0.438 | 10.6 | 10.4 | 0.205 |


| Characteristics | 2012 |  |  | 2013 |  |  | 2014 |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Online | Survey | Difference | Online | Survey | Difference | Online | Survey | Difference |
| GCSE points (grade) (average) | 52.5 (A) | 52.4 (A) | 0.064 | 52.3 (A) | 52.4 (A) | -0.17 | 52.1 (A) | 51.9 (B) | 0.227 |
| GCSE points (grade) (lowest of best eight) | 48.5 (B) | 48.3 (B) | 0.22 | 48.7 (B) | 48.3 (B) | 0.406 | 48.6 (B) | 48.6 (B) | 0.011 |
| GCSE points (grade) (lowest of best five) | 52.4 (A) | 53.0 (A) | -0.623 | 52.4 (A) | 52.3 (A) | 0.097 | 52.2 (A) | 51.6 (B) | 0.586 |
| GCSE points (grade) in English | 51.4 (B) | 51.5 (B) | -0.125 | 51.3 (B) | 50.9 (B) | 0.406 | 51.5 (B) | 51.6 (B) | -0.182 |
| GCSE points (grade) in maths | 53.6 (A) | 54.0 (A) | -0.395 | 53.1 (A) | 52.3 (A) | 0.783 | 53.3 (A) | 53.4 (A) | -0.109 |
| A-level points (grade) (average) | 108.9 (B) | 108.7 (B) | 0.212 | 110.2 (B) | 99.8 (C) | 10.342*** | 107.0 (B) | 106.4 (B) | 0.641 |
| Take A-level in maths (\%) | 71.6 | 77.1 | -5.5 | 62.9 | 51.4 | 11.5 | 50.8 | 47 | 3.8 |
| Take a science A-level (\%) | 69.2 | 71.1 | -1.9 | 62 | 68.6 | -6.5 | 55.8 | 54.5 | 1.2 |
| Eligible for FSM | 54.7 | 62.7 | -7.9 | 62.6 | 62.9 | -0.2 | 65.5 | 72.7 | -7.2 |
| White British ethnic group | 16.7 | 20.5 | -3.8 | 26.1 | 22.9 | 3.2 | 21.3 | 40.9 | -19.6*** |
| IDACI decile | 8.7 | 7.8 | 0.957*** |  |  |  |  |  |  |
| \% in neighbourhood: |  |  |  |  |  |  |  |  |  |
| Own/mortgage for home | 26.6 | 30 | -3.341** | 28.5 | 23.2 | 5.300** | 26.8 | 29.6 | -2.78 |
| Professional occupation | 10.2 | 10.9 | -0.716 | 9.7 | 7.4 | 2.324** | 9.9 | 10.6 | -0.646 |
| Degree | 10.3 | 11 | -0.666* | 11.1 | 9.7 | 1.413** | 10.6 | 10.9 | -0.306 |

[^13]
## Appendix D. Classification of subjects into broad areas

Table D.1. Classification of subjects in HESA student record data (1)

| Accountancy | Architecture | Business and finance | Engineering | Law | Media | Medicine and dentistry |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| N4 Accounting | H5 Naval architecture | L1 Economics | H0 Broadly-based programmes within engineering and technology | M0 Broadly-based programmes within law | P1 Information services | A1 Pre-clinical medicine |
|  | K0 Broadly-based programmes within architecture | N1 Business studies | H1 General engineering | M1 Law by area | P2 Publicity studies | A2 Pre-clinical dentistry |
|  | K1 Architecture | N2 Management studies | H2 Civil engineering | M2 Law by topic | P3 Media studies | A3 Clinical medicine |
|  | K2 Building | N3 Finance | H3 Mechanical engineering | M9 Others in law | P4 Publishing | A4 Clinical dentistry |
|  | K3 Landscape design | N5 Marketing | H4 Aerospace engineering |  | P5 Journalism | A9 Others in medicine and dentistry |
|  | K4 Planning urban | N6 Human resource management | H6 Electronic and electrical engineering |  | P9 Others in mass communications and documentation | B0 Broadly-based programmes within subjects allied to medicine |



Table D.2. Classification of subjects in HESA student record data (2)

| Politics | Science and technology | Other (humanities) | Other (maths) | Other (social sciences) | Other (vocational) | Other (other) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| L2 Politics | C0 Broadly-based programmes within biological sciences | Q0 Broadly-based programmes within languages | G0 Broadly-based programmes within mathematical sciences | C8 Psychology | B4 Nutrition | D6 Food and beverage studies |
| L4 Social policy | C1 Biology | Q1 Linguistics | G1 Mathematics | L0 Broadly-based programmes within social studies | B7 Nursing | Y0 Combined |
|  | C2 Botany | Q2 Comparative literary studies | G2 Operational research | L3 Sociology | C6 Sports science |  |
|  | C3 Zoology | Q3 English studies | G3 Statistics | L6 Anthropology | D4 Agriculture |  |
|  | C4 Genetics | Q4 Ancient language studies |  | L7 Human and social geography | D5 Forestry |  |
|  | C5 Microbiology | Q5 Celtic studies |  | L9 Others in social studies | L5 Social work |  |
|  | C7 Molecular biology | Q6 Latin studies |  |  | X0 Broadly-based programmes within education |  |
|  | C9 Others in biological sciences | Q7 Classical Greek studies |  |  | X1 Training teachers |  |





|  |  | W6 Cinematics and <br> photography <br> W7 Crafts <br> W8 Imaginative <br> writing <br> W9 Others in <br> creative arts and <br> design |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

Table D.3. Classification of subjects in SMF data (1)

| Accountancy | Architecture | Business and finance | Engineering | Law | Media | Medicine and dentistry |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Accounting and finance <br> Accounting with business management | Architectural technology Architecture | Actuarial science and mathematics <br> BA Business management | Aerospace engineering <br> Automotive engineering | Criminology and law Honours law | Communications media and society <br> German and international media and communication | Biomedical science Biomedical sciences |
| Business accounting and finance | Civil engineering and architecture | BA Economics and business management | Biochemical engineering | Law | Media and communications | Biomedicine |
| Business with accounting and finance | Landscape architecture | Banking and finance | Chemical engineering | Law (Ilb) | Multimedia | Bioveterinary science |
| Economics and accounting | K3 Landscape design | Banking and international finance | Civil engineering | Law and criminology | Public relations | BSc Biomed |
| Finance and accounting | K4 Planning urban | BSc Economics | Computer engineering | Law llb | Spanish and international media and communications | Clinical sciences |
|  | K9 Others in architecture | BSc International management (china) | Electrical and electronics engineering | Law with French | Television and film production | Dentistry |
|  |  | Business economics and finance | Electrical and electronic engineering | Law with French law |  | Diagnostic radiography |




Table D.4. Classification of subjects in SMF data (2)

| Politics | Science and technology | Other (humanities) | Other (maths) | Other (social sciences) | Other (vocational) | Other (other) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Economics and politics | Artificial intelligence and cybernetics | Ancient world studies | Mathematics | Cultural studies | Adult nursing |  |
| Government and economics | Biochemistry | Archaeology | Mathematics and music | Early childhood studies | Digital animation and interactive design |  |
| Government and history | Biochemistry and molecular medicine | Art | Mathematics BSc | Psychology | Fashion buying and design |  |
| History and international relations | Biological sciences | BA Geography | Mathematics with statistics | Psychology and child development | Foundation degree in professional photography |  |
| History and politics | Biology | Classical archaeology and ancient history | Maths | Sociology | Interior design |  |
| International politics | Chemistry | Classical studies | Pure mathematics | L9 Others in social studies | Photography |  |
| International relations and Arabic | Chemistry with a year abroad | Contemporary Chinese studies |  |  | Physiotherapy |  |
| International relations with English and Spanish | Chemistry with biochemistry | Contemporary theatre and performance |  |  | Veterinary nursing |  |
| Philosophy politics and economics | Computer science | Dance |  |  | X2 Research and study skills in education |  |


| Politics | Computer science and artificial intelligence | Education with English and drama |  |  | X3 Academic studies in education |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Politics and Hispanic studies | Computing | English |  |  | X9 Others in education |  |
| Politics and international relations | Ecology | English and drama |  |  |  |  |
| Politics with international studies | Forensic science | English and German literature |  |  |  |  |
| PPE | Genetics | English and linguistics |  |  |  |  |
| PPS | Geology | English language |  |  |  |  |
| PPSIS | Human biosciences | English language and linguistics |  |  |  |  |
| Social policy and economics | ICT | English language and literature |  |  |  |  |
|  | Information management and computing | English language and literature |  |  |  |  |
|  | Medical biochemistry | English lit |  |  |  |  |
|  | Medical physics | English literature |  |  |  |  |
|  | Medicinal and biological chemistry | English with creative writing |  |  |  |  |


| MSc Chemistry with French | European studies |
| :---: | :---: |
| Natural science | European studies and Spanish |
| Natural sciences | Foundation diploma in art and design |
| Natural sciences biological | French |
| Neuroscience | French and Spanish |
| Physics | Geography |
| Physiological sciences | History |
| Science and engineering foundation | History and American studies |
| programme biological sciences 4 year | History and French joint degree |
| Sport and exercise science | Japanese studies |
| J6 Maritime technology | Literature and language in education |
| J7 Industrial biotechnology | Modern history |
| J9 Others in technology | Philosophy |



## Appendix E. Defining a common sample

Table E.1. Defining a common sample: remaining sample after each condition is imposed

| SMF cohort | No condition (England) | Observe HE participation | Observe Russell Group status* | Observe 'Top 10' status* | Observe whether different GOR* | Observe broad subject area | Observe KS4 results | Observe KS5 results | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2009: treatment | 303 (301) | 250 | 250 | 250 | 250 | 241 | 228 | 204 | 67\% |
| 2009: control | 300,705 | 300,705 | 300,705 | 300,705 | 300,705 | 295,655 | 287,841 | 198,716 | 66\% |
| 2010: treatment | 507 (507) | 507 | 427 | 426 | 426 | 425 | 425 | 395 | 78\% |
| 2010: control | 305,798 | 305,798 | 305,798 | 305,798 | 305,798 | 300,399 | 291,880 | 195,956 | 64\% |
| 2011: treatment | 666 (665) | 580 | 576 | 576 | 576 | 576 | 576 | 552 | 83\% |
| 2011: control | 316,174 | 316,174 | 316,174 | 316,174 | 316,174 | 311,203 | 302,939 | 199,277 | 63\% |
| 2012: treatment | 650 (640) | 536 | 534 | 534 | 534 | 532 | 494 | 485 | 75\% |
| 2012: control | 326,932 | 326,932 | 326,932 | 326,932 | 326,932 | 320,766 | 312,557 | 197,363 | 60\% |
| 2013: treatment | 683 (633) | 505 | 502 | 502 | 502 | 436 | 394 | 380 | 56\% |
| 2013: control | 333,984 | 333,984 | 333,984 | 333,984 | 333,984 | 327,693 | 319,423 | 193,519 | 58\% |
| 2014: treatment | 859 (805) | 649 | 646 | 646 | 646 | 552 | 551 | 446 | 52\% |
| 2014: control | 395,913 | 395,913 | 395,913 | 395,913 | 395,913 | 389,036 | 370,611 | 198,347 | 50\% |

Note: * Conditional on HE participation.

## Appendix F. Alternative comparison groups

Table F.1. Alternative comparison groups for other programmes: the average outcomes for groups of students across cohorts.

| Example <br> students | SMF <br> cohort | Attend HE <br> $(\%)$ | Russell Group <br> $(\%)$ | Top 10 <br> $(\%)$ | Diff. GOR <br> $(\%)$ |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Students attaining <br> at least three A* | 2009 | 84 | 92 | 74 | 80 |
| grades at A-level | 2010 | 91 | 91 | 72 | 79 |
|  | 2011 | 86 | 91 | 77 | 79 |
| 2012 | 89 | 92 | 79 | 78 |  |


| White FSM students attaining at least three A* grades at A-level | 2009 | 85 | 90 | 69 | 69 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 87 | 77 | 62 | 83 |
|  | 2011 | 78 | 93 | 75 | 65 |
|  | 2012 | 87 | 85 | 72 | 72 |
|  | 2013 | 91 | 92 | 82 | 76 |
|  | 2014 | 87 | 87 | 80 | 74 |
|  | 2009-14 | 86 | 87 | 73 | 73 |
| Students attaining at least three A grades at A-level | 2009 | 80 | 81 | 50 | 79 |
|  | 2010 | 89 | 79 | 49 | 78 |
|  | 2011 | 83 | 80 | 53 | 78 |
|  | 2012 | 84 | 82 | 54 | 79 |
|  | 2013 | 85 | 83 | 57 | 81 |
|  | 2014 | 85 | 82 | 58 | 79 |
|  | 2009-14 | 84 | 81 | 53 | 79 |
| Non-white students attaining at least three $A$ grades at A-level | 2009 | 79 | 74 | 42 | 65 |
|  | 2010 | 86 | 73 | 44 | 67 |
|  | 2011 | 80 | 78 | 49 | 69 |
|  | 2012 | 80 | 80 | 51 | 70 |
|  | 2013 | 88 | 78 | 48 | 72 |
|  | 2014 | 85 | 77 | 53 | 69 |
|  | 2009-14 | 83 | 77 | 48 | 69 |
| Non-white FSM students attaining at least three $A$ grades at A-level | 2009 | 76 | 77 | 43 | 60 |
|  | 2010 | 89 | 78 | 49 | 60 |
|  | 2011 | 75 | 93 | 65 | 68 |
|  | 2012 | 78 | 92 | 60 | 64 |
|  | 2013 | 92 | 89 | 63 | 61 |
|  | 2014 | 81 | 82 | 56 | 53 |
|  | 2009-14 | 83 | 85 | 56 | 60 |
| Non-white FSM students from London attaining at least three $A$ grades at A-level | 2009 | 79 | 74 | 42 | 66 |
|  | 2010 | 85 | 72 | 43 | 68 |
|  | 2011 | 80 | 76 | 47 | 70 |
|  | 2012 | 80 | 78 | 49 | 72 |
|  | 2013 | 88 | 76 | 45 | 74 |
|  | 2014 | 85 | 76 | 52 | 71 |
|  | 2009-14 | 83 | 75 | 47 | 70 |


| Non-white FSM students from outside London attaining at least three A grades at A-level | 2009 | 77 | 63 | 33 | 71 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 86 | 59 | 31 | 71 |
|  | 2011 | 79 | 64 | 35 | 71 |
|  | 2012 | 81 | 67 | 35 | 71 |
|  | 2013 | 81 | 69 | 38 | 73 |
|  | 2014 | 81 | 69 | 38 | 72 |
|  | 2009-14 | 81 | 65 | 35 | 71 |
| White students attaining at least three A grades at A-level | 2009 | 79 | 62 | 34 | 60 |
|  | 2010 | 85 | 58 | 31 | 61 |
|  | 2011 | 81 | 64 | 36 | 62 |
|  | 2012 | 82 | 68 | 39 | 62 |
|  | 2013 | 83 | 70 | 41 | 63 |
|  | 2014 | 83 | 71 | 41 | 64 |
|  | 2009-14 | 82 | 66 | 37 | 62 |
| White FSM students attaining at least three $A$ grades at A-level | 2009 | 77 | 56 | 29 | 38 |
|  | 2010 | 86 | 50 | 26 | 44 |
|  | 2011 | 82 | 58 | 33 | 42 |
|  | 2012 | 81 | 65 | 34 | 47 |
|  | 2013 | 82 | 68 | 37 | 50 |
|  | 2014 | 83 | 67 | 38 | 46 |
|  | 2009-14 | 82 | 61 | 33 | 45 |
| White FSM students from London attaining at least three A grades at A-level | 2009 | 78 | 53 | 19 | 35 |
|  | 2010 | 89 | 48 | 19 | 44 |
|  | 2011 | 83 | 59 | 26 | 41 |
|  | 2012 | 82 | 64 | 27 | 44 |
|  | 2013 | 83 | 67 | 31 | 48 |
|  | 2014 | 83 | 68 | 32 | 43 |
|  | 2009-14 | 83 | 61 | 26 | 43 |
| White FSM students from outside London attaining at least three A grades at A-level | 2009 | 77 | 59 | 40 | 43 |
|  | 2010 | 84 | 52 | 34 | 44 |
|  | 2011 | 80 | 56 | 42 | 44 |
|  | 2012 | 81 | 66 | 43 | 50 |
|  | 2013 | 80 | 68 | 43 | 52 |
|  | 2014 | 83 | 67 | 45 | 50 |
|  | 2009-14 | 81 | 62 | 41 | 48 |


| Students attaining at least three $B$ grades at A-level | 2009 | 76 | 63 | 33 | 73 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 86 | 59 | 30 | 73 |
|  | 2011 | 79 | 64 | 35 | 73 |
|  | 2012 | 80 | 66 | 35 | 73 |
|  | 2013 | 81 | 69 | 37 | 75 |
|  | 2014 | 80 | 69 | 37 | 74 |
|  | 2009-14 | 81 | 65 | 34 | 74 |
| Non-white students attaining at least three B grades at A-level | 2009 | 75 | 54 | 27 | 61 |
|  | 2010 | 83 | 51 | 25 | 62 |
|  | 2011 | 78 | 54 | 30 | 62 |
|  | 2012 | 78 | 60 | 29 | 62 |
|  | 2013 | 83 | 62 | 30 | 65 |
|  | 2014 | 81 | 62 | 31 | 64 |
|  | 2009-14 | 80 | 58 | 29 | 63 |
| Non-white FSM students attaining at least three $B$ grades at A-level | 2009 | 79 | 49 | 21 | 60 |
|  | 2010 | 85 | 53 | 24 | 67 |
|  | 2011 | 78 | 55 | 30 | 64 |
|  | 2012 | 75 | 57 | 24 | 65 |
|  | 2013 | 83 | 64 | 36 | 60 |
|  | 2014 | 77 | 65 | 28 | 64 |
|  | 2009-14 | 79 | 57 | 27 | 64 |
| Non-white FSM students from London attaining at least three B grades at A-level | 2009 | 74 | 55 | 29 | 61 |
|  | 2010 | 83 | 51 | 25 | 61 |
|  | 2011 | 78 | 54 | 29 | 61 |
|  | 2012 | 79 | 61 | 30 | 61 |
|  | 2013 | 83 | 62 | 29 | 66 |
|  | 2014 | 81 | 61 | 32 | 64 |
|  | 2009-14 | 80 | 58 | 29 | 63 |
| Non-white FSM students from outside London attaining at least three B grades at A-level | 2009 | 58 | 38 | 20 | 68 |
|  | 2010 | 66 | 35 | 18 | 69 |
|  | 2011 | 60 | 39 | 21 | 70 |
|  | 2012 | 61 | 40 | 21 | 69 |
|  | 2013 | 63 | 41 | 21 | 71 |
|  | 2014 | 63 | 41 | 21 | 70 |
|  | 2009-14 | 62 | 39 | 20 | 70 |


| White students attaining at least three B grades at A-level | 2009 | 56 | 33 | 17 | 67 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 64 | 31 | 16 | 68 |
|  | 2011 | 57 | 35 | 18 | 67 |
|  | 2012 | 59 | 37 | 18 | 68 |
|  | 2013 | 60 | 37 | 19 | 69 |
|  | 2014 | 61 | 38 | 18 | 68 |
|  | 2009-14 | 60 | 35 | 18 | 68 |
| White FSM <br> students attaining at least three B grades at A-level | 2009 | 54 | 33 | 16 | 66 |
|  | 2010 | 62 | 30 | 15 | 67 |
|  | 2011 | 56 | 33 | 17 | 67 |
|  | 2012 | 58 | 36 | 17 | 68 |
|  | 2013 | 59 | 35 | 18 | 68 |
|  | 2014 | 60 | 35 | 17 | 67 |
|  | 2009-14 | 58 | 34 | 17 | 67 |
| White FSM students from London attaining at least three B grades at A-level | 2009 | 53 | 30 | 15 | 65 |
|  | 2010 | 61 | 28 | 14 | 66 |
|  | 2011 | 54 | 31 | 16 | 65 |
|  | 2012 | 57 | 32 | 16 | 66 |
|  | 2013 | 58 | 34 | 17 | 66 |
|  | 2014 | 58 | 34 | 16 | 66 |
|  | 2009-14 | 57 | 31 | 15 | 66 |
| White FSM students from outside London attaining at least three B grades at A-level | 2009 | 52 | 29 | 14 | 65 |
|  | 2010 | 60 | 27 | 13 | 65 |
|  | 2011 | 53 | 31 | 16 | 65 |
|  | 2012 | 55 | 32 | 16 | 66 |
|  | 2013 | 57 | 31 | 16 | 66 |
|  | 2014 | 58 | 32 | 15 | 64 |
|  | 2009-14 | 56 | 30 | 15 | 65 |
| Area deprivation (classified by IDACI): 1st decile (least deprived 10\%) | 2009 | 58 | 38 | 20 | 68 |
|  | 2010 | 66 | 35 | 18 | 69 |
|  | 2011 | 60 | 39 | 21 | 70 |
|  | 2012 | 61 | 40 | 21 | 69 |
|  | 2013 | 63 | 41 | 21 | 71 |
|  | 2014 | 63 | 41 | 21 | 70 |
|  | 2009-14 | 62 | 39 | 20 | 70 |


| Area deprivation (classified by IDACI): 2nd decile | 2009 | 56 | 33 | 17 | 67 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 64 | 31 | 16 | 68 |
|  | 2011 | 57 | 35 | 18 | 67 |
|  | 2012 | 59 | 37 | 18 | 68 |
|  | 2013 | 60 | 37 | 19 | 69 |
|  | 2014 | 61 | 38 | 18 | 68 |
|  | 2009-14 | 60 | 35 | 18 | 68 |
| Area deprivation (classified by IDACI): 3rd decile | 2009 | 54 | 33 | 16 | 66 |
|  | 2010 | 62 | 30 | 15 | 67 |
|  | 2011 | 56 | 33 | 17 | 67 |
|  | 2012 | 58 | 36 | 17 | 68 |
|  | 2013 | 59 | 35 | 18 | 68 |
|  | 2014 | 60 | 35 | 17 | 67 |
|  | 2009-14 | 58 | 34 | 17 | 67 |
| Area deprivation (classified by IDACI): 4th decile | 2009 | 53 | 30 | 15 | 65 |
|  | 2010 | 61 | 28 | 14 | 66 |
|  | 2011 | 54 | 31 | 16 | 65 |
|  | 2012 | 57 | 32 | 16 | 66 |
|  | 2013 | 58 | 34 | 17 | 66 |
|  | 2014 | 58 | 34 | 16 | 66 |
|  | 2009-14 | 57 | 31 | 15 | 66 |
| Area deprivation (classified by IDACI): 5th decile | 2009 | 52 | 29 | 14 | 65 |
|  | 2010 | 60 | 27 | 13 | 65 |
|  | 2011 | 53 | 31 | 16 | 65 |
|  | 2012 | 55 | 32 | 16 | 66 |
|  | 2013 | 57 | 31 | 16 | 66 |
|  | 2014 | 58 | 32 | 15 | 64 |
|  | 2009-14 | 56 | 30 | 15 | 65 |
| Area deprivation (classified by IDACI): 6th decile | 2009 | 51 | 27 | 14 | 61 |
|  | 2010 | 58 | 24 | 12 | 63 |
|  | 2011 | 53 | 28 | 13 | 61 |
|  | 2012 | 56 | 29 | 14 | 62 |
|  | 2013 | 57 | 29 | 15 | 63 |
|  | 2014 | 57 | 30 | 14 | 62 |
|  | 2009-14 | 55 | 28 | 14 | 62 |


| Area deprivation (classified by IDACI): 7th decile | 2009 | 51 | 25 | 13 | 59 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 58 | 23 | 11 | 61 |
|  | 2011 | 53 | 27 | 13 | 59 |
|  | 2012 | 56 | 29 | 14 | 60 |
|  | 2013 | 57 | 28 | 14 | 60 |
|  | 2014 | 58 | 29 | 14 | 60 |
|  | 2009-14 | 55 | 27 | 13 | 60 |
| Area deprivation (classified by IDACI): 8th decile | 2009 | 51 | 23 | 11 | 54 |
|  | 2010 | 58 | 21 | 10 | 55 |
|  | 2011 | 54 | 24 | 12 | 53 |
|  | 2012 | 57 | 26 | 13 | 54 |
|  | 2013 | 58 | 25 | 12 | 56 |
|  | 2014 | 60 | 25 | 12 | 55 |
|  | 2009-14 | 56 | 24 | 12 | 55 |
| Area deprivation (classified by IDACI): 9th decile | 2009 | 52 | 20 | 11 | 49 |
|  | 2010 | 57 | 19 | 9 | 50 |
|  | 2011 | 55 | 21 | 11 | 50 |
|  | 2012 | 57 | 23 | 12 | 51 |
|  | 2013 | 60 | 23 | 12 | 51 |
|  | 2014 | 61 | 24 | 11 | 50 |
|  | 2009-14 | 57 | 22 | 11 | 50 |
| Area deprivation (classified by IDACI): 10th decile (most deprived 10\%) | 2009 | 53 | 18 | 8 | 40 |
|  | 2010 | 59 | 17 | 8 | 43 |
|  | 2011 | 57 | 19 | 10 | 43 |
|  | 2012 | 58 | 21 | 10 | 45 |
|  | 2013 | 61 | 22 | 11 | 46 |
|  | 2014 | 63 | 23 | 10 | 46 |
|  | 2009-14 | 59 | 20 | 9 | 44 |
| At least three A <br> grades: area deprivation (classified by IDACI): 1st decile (least deprived 10\%) | 2009 | 81 | 84 | 53 | 82 |
|  | 2010 | 90 | 83 | 51 | 80 |
|  | 2011 | 84 | 84 | 56 | 81 |
|  | 2012 | 86 | 85 | 57 | 80 |
|  | 2013 | 87 | 85 | 60 | 82 |
|  | 2014 | 85 | 84 | 62 | 82 |
|  | 2009-14 | 86 | 84 | 56 | 81 |


| At least three A grades: area deprivation (classified by IDACI): 2nd decile | 2009 | 82 | 83 | 53 | 81 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 89 | 81 | 50 | 80 |
|  | 2011 | 82 | 81 | 54 | 80 |
|  | 2012 | 85 | 82 | 56 | 80 |
|  | 2013 | 86 | 85 | 59 | 82 |
|  | 2014 | 87 | 85 | 58 | 80 |
|  | 2009-14 | 85 | 83 | 55 | 80 |
| At least three A grades: area deprivation (classified by IDACI): 3rd decile | 2009 | 80 | 83 | 51 | 79 |
|  | 2010 | 89 | 80 | 50 | 78 |
|  | 2011 | 82 | 80 | 53 | 80 |
|  | 2012 | 83 | 83 | 55 | 79 |
|  | 2013 | 85 | 81 | 57 | 81 |
|  | 2014 | 85 | 82 | 59 | 80 |
|  | 2009-14 | 84 | 81 | 54 | 80 |
| At least three A grades: area deprivation (classified by IDACI): 4th decile | 2009 | 80 | 80 | 49 | 79 |
|  | 2010 | 89 | 80 | 49 | 77 |
|  | 2011 | 82 | 81 | 53 | 78 |
|  | 2012 | 84 | 82 | 55 | 77 |
|  | 2013 | 85 | 83 | 58 | 81 |
|  | 2014 | 84 | 82 | 57 | 79 |
|  | 2009-14 | 84 | 81 | 54 | 78 |
| At least three A grades: area deprivation (classified by IDACI): 5th decile | 2009 | 80 | 81 | 50 | 77 |
|  | 2010 | 89 | 77 | 49 | 76 |
|  | 2011 | 82 | 81 | 53 | 77 |
|  | 2012 | 81 | 82 | 54 | 78 |
|  | 2013 | 83 | 81 | 56 | 78 |
|  | 2014 | 83 | 83 | 59 | 78 |
|  | 2009-14 | 83 | 81 | 53 | 77 |
| At least three A grades: area deprivation (classified by IDACI): 6th decile | 2009 | 79 | 81 | 50 | 75 |
|  | 2010 | 88 | 77 | 47 | 77 |
|  | 2011 | 81 | 80 | 50 | 73 |
|  | 2012 | 84 | 81 | 54 | 75 |
|  | 2013 | 84 | 81 | 59 | 77 |
|  | 2014 | 84 | 84 | 58 | 76 |
|  | 2009-14 | 83 | 80 | 53 | 76 |


| At least three A grades: area deprivation (classified by IDACI): 7th decile | 2009 | 81 | 80 | 50 | 74 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 88 | 77 | 47 | 72 |
|  | 2011 | 83 | 80 | 52 | 72 |
|  | 2012 | 83 | 80 | 53 | 74 |
|  | 2013 | 85 | 84 | 56 | 74 |
|  | 2014 | 85 | 83 | 57 | 72 |
|  | 2009-14 | 84 | 80 | 52 | 73 |
| At least three A grades: area deprivation (classified by IDACI): 8th decile | 2009 | 80 | 81 | 50 | 68 |
|  | 2010 | 89 | 79 | 47 | 66 |
|  | 2011 | 83 | 77 | 49 | 65 |
|  | 2012 | 85 | 82 | 53 | 67 |
|  | 2013 | 85 | 82 | 54 | 70 |
|  | 2014 | 84 | 84 | 57 | 68 |
|  | 2009-14 | 84 | 81 | 52 | 67 |
| At least three A grades: area deprivation (classified by IDACI): 9th decile | 2009 | 79 | 81 | 49 | 66 |
|  | 2010 | 87 | 79 | 47 | 63 |
|  | 2011 | 81 | 83 | 54 | 66 |
|  | 2012 | 81 | 83 | 57 | 64 |
|  | 2013 | 83 | 83 | 57 | 65 |
|  | 2014 | 82 | 83 | 59 | 59 |
|  | 2009-14 | 82 | 82 | 54 | 64 |
| At least three A grades: area deprivation (classified by IDACI): 10th decile (most deprived 10\%) | 2009 | 78 | 81 | 47 | 55 |
|  | 2010 | 87 | 78 | 47 | 55 |
|  | 2011 | 81 | 81 | 50 | 53 |
|  | 2012 | 78 | 84 | 57 | 55 |
|  | 2013 | 83 | 85 | 62 | 56 |
|  | 2014 | 84 | 85 | 61 | 52 |
|  | 2009-14 | 82 | 82 | 54 | 54 |
| At least three B grades: area deprivation (classified by IDACI): 1st decile (least deprived 10\%) | 2009 | 78 | 68 | 37 | 77 |
|  | 2010 | 88 | 65 | 34 | 76 |
|  | 2011 | 80 | 70 | 39 | 77 |
|  | 2012 | 82 | 71 | 39 | 77 |
|  | 2013 | 83 | 73 | 41 | 79 |
|  | 2014 | 82 | 73 | 42 | 78 |
|  | 2009-14 | 82 | 70 | 39 | 77 |


| At least three B grades: area deprivation (classified by IDACI): 2nd decile | 2009 | 78 | 65 | 35 | 76 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 87 | 61 | 32 | 75 |
|  | 2011 | 79 | 66 | 37 | 75 |
|  | 2012 | 81 | 69 | 36 | 76 |
|  | 2013 | 82 | 71 | 39 | 77 |
|  | 2014 | 82 | 72 | 39 | 76 |
|  | 2009-14 | 81 | 67 | 37 | 76 |
| At least three B grades: area deprivation (classified by IDACI): 3rd decile | 2009 | 77 | 65 | 34 | 74 |
|  | 2010 | 86 | 60 | 31 | 74 |
|  | 2011 | 78 | 64 | 35 | 76 |
|  | 2012 | 80 | 69 | 36 | 75 |
|  | 2013 | 81 | 70 | 38 | 76 |
|  | 2014 | 81 | 69 | 39 | 77 |
|  | 2009-14 | 81 | 66 | 35 | 75 |
| At least three B grades: area deprivation (classified by IDACI): 4th decile | 2009 | 76 | 62 | 33 | 74 |
|  | 2010 | 85 | 59 | 31 | 73 |
|  | 2011 | 78 | 64 | 35 | 74 |
|  | 2012 | 80 | 67 | 35 | 74 |
|  | 2013 | 81 | 70 | 39 | 75 |
|  | 2014 | 80 | 68 | 36 | 75 |
|  | 2009-14 | 80 | 65 | 35 | 74 |
| At least three B grades: area deprivation (classified by IDACI): 5th decile | 2009 | 76 | 62 | 32 | 74 |
|  | 2010 | 85 | 59 | 31 | 73 |
|  | 2011 | 77 | 63 | 35 | 74 |
|  | 2012 | 79 | 65 | 34 | 74 |
|  | 2013 | 80 | 67 | 37 | 74 |
|  | 2014 | 80 | 68 | 37 | 74 |
|  | 2009-14 | 80 | 64 | 34 | 74 |
| At least three B grades: area deprivation (classified by IDACI): 6th decile | 2009 | 75 | 61 | 33 | 71 |
|  | 2010 | 85 | 56 | 29 | 72 |
|  | 2011 | 78 | 61 | 32 | 69 |
|  | 2012 | 80 | 63 | 33 | 71 |
|  | 2013 | 80 | 66 | 37 | 72 |
|  | 2014 | 80 | 68 | 36 | 71 |
|  | 2009-14 | 80 | 62 | 33 | 71 |


| At least three B grades: area deprivation (classified by IDACI): 7th decile | 2009 | 76 | 59 | 31 | 69 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2010 | 85 | 56 | 28 | 68 |
|  | 2011 | 79 | 61 | 32 | 68 |
|  | 2012 | 81 | 65 | 34 | 69 |
|  | 2013 | 82 | 68 | 36 | 69 |
|  | 2014 | 81 | 68 | 37 | 68 |
|  | 2009-14 | 81 | 63 | 33 | 69 |
| At least three B grades: area deprivation (classified by IDACI): 8th decile | 2009 | 77 | 59 | 30 | 64 |
|  | 2010 | 86 | 54 | 28 | 64 |
|  | 2011 | 81 | 58 | 31 | 63 |
|  | 2012 | 84 | 64 | 34 | 62 |
|  | 2013 | 81 | 66 | 35 | 65 |
|  | 2014 | 81 | 66 | 36 | 64 |
|  | 2009-14 | 82 | 61 | 32 | 64 |
| At least three B grades: area deprivation (classified by IDACI): 9th decile | 2009 | 77 | 58 | 31 | 58 |
|  | 2010 | 86 | 54 | 27 | 59 |
|  | 2011 | 80 | 61 | 35 | 61 |
|  | 2012 | 80 | 63 | 35 | 59 |
|  | 2013 | 81 | 66 | 36 | 60 |
|  | 2014 | 82 | 66 | 37 | 57 |
|  | 2009-14 | 81 | 61 | 33 | 59 |
| At least three B grades: area deprivation (classified by IDACI): 10th decile (most deprived 10\%) | 2009 | 78 | 55 | 28 | 49 |
|  | 2010 | 87 | 52 | 26 | 52 |
|  | 2011 | 81 | 57 | 31 | 51 |
|  | 2012 | 80 | 63 | 32 | 51 |
|  | 2013 | 83 | 66 | 37 | 54 |
|  | 2014 | 82 | 67 | 36 | 51 |
|  | 2009-14 | 82 | 60 | 32 | 52 |

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[^0]:    ${ }^{1}$ http://webarchive.nationalarchives.gov.uk/+/http://www.cabinetoffice.gov.uk/media/227105/fair-accesssummary.pdf.

[^1]:    ${ }^{2}$ Students on a residential programme will have been from Scotland, Wales and Northern Ireland, and so equivalent exams would be relevant. This report focuses on SMF participants from England only, as the administrative data we use to construct a credible control group is from England only.
    ${ }^{3}$ Whether a school is relatively deprived is defined by whether the percentage of pupils eligible for FSMs at the school is higher than the regional average.

[^2]:    ${ }^{4}$ Propensity score matching is discussed in more detail in Appendix A.

[^3]:    ${ }^{5}$ To help assess how much of an issue this might be, we compare the background characteristics of SMF participants who were and were not successfully traced online (see Appendix C). Overall, background characteristics looks similar between the two groups across cohorts, with the exception that those that not traced online are more likely to have been eligible for FSM in some cohorts, and, in some cohorts, have slightly lower average attainment. Of course, this can only provide partial reassurance: there may still be differences in unobserved characteristics and unobserved outcomes between the two groups.

[^4]:    ${ }^{6}$ These are: University of Bath; University of Birmingham; University of Bristol; University of Cambridge; University of Durham; University of Leeds; University College London; Imperial College of Science, Technology, and Medicine; University of Manchester; University of Nottingham; University of Oxford; and University of Warwick.

[^5]:    ${ }^{7}$ Whether a school is relatively deprived is defined by whether the percentage of pupils eligible for FSM at the school is higher than the regional average.

[^6]:    ${ }^{8}$ ACORN provides a classification of postcodes on the basis of demographic data, social factors, population and consumer behaviour; for further details, see http://acorn.caci.co.uk/.

[^7]:    ${ }^{9}$ An alternative argument is that A-level attainment could be positively affected by participation in the programme. Accounting for A-level attainment when creating a matched comparison group would therefore match SMF participants to more able peers (who have achieved the same high level of attainment without access to the SMF programme), and hence understate the impact of the SMF programme. Accounting for Alevel attainment when creating our preferred control group reflects our assumption that A-level attainment is unlikely to be significantly affected through participation in the SMF programme, as the participants have been predicted to achieve a high level before application.

[^8]:    ${ }^{10}$ The lower percentage for the 2012 cohort reflects a large amount of missing data on neighbourhood for this cohort.

[^9]:    ${ }^{11}$ https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/321969/London_Schools__FINAL.pdf.

[^10]:    ${ }^{12}$ These confidence intervals are based on a test that the difference between the SMF cohort and treatment group is zero. This premise is called the null hypothesis. 'Statistical significance' means that we can reject the null hypothesis that the difference between the SMF cohort and treatment group is zero. In this case, the tests are based on a $95 \%$ confidence interval. If a difference is statistically significant, this means that the chance of observing a difference at least as large as we do if the null hypothesis is actually true (the difference is actually zero) is less than $5 \%$.
    ${ }^{13}$ Confidence intervals and significance tests are affected by the size of the sample: when the sample size (or the number of pupils in the SMF cohort) increases, more information is available and so the precision of the estimates increases, and the size of the confidence interval shrinks.

[^11]:    ${ }^{14}$ https://www.gov.uk/government/speeches/britain-the-great-meritocracy-prime-ministers-speech

[^12]:    Note: *, ** and *** denote statistical significance at the $10 \%, 5 \%$ and $1 \%$ levels, respectively. A common sample is imposed: individuals are included if KS4 and KS5 results are reported, and university destination and subject choice are known. A higher decile corresponds to a more deprived area. Area characteristics are not reported for the 2012 cohort as the sample size with recorded postcode is too low.

[^13]:    Note: *, ** and *** denote statistical significance at the $10 \%, 5 \%$ and $1 \%$ levels, respectively. A common sample is imposed: individuals are included if KS4 and KS5 results are reported, and university destination and subject choice are known. A higher decile corresponds to a more deprived area. 'Online' includes those with HE outcomes found through online searches and may or may not have responded to the survey. 'Survey' includes those who responded to the survey and were not found in online searches. Area characteristics are not reported for the 2012 cohort as the sample size with recorded postcode is too low.

