Socio-economic gaps in HE participation: how have they changed over time?

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Motivation: rising socio-economic inequalities in HE participation and degree acquisition over time

Difference in HE participation/degree acquisition rates between those in the top and bottom income quintile groups

<table>
<thead>
<tr>
<th>Study</th>
<th>HE participation at age 19</th>
<th>Degree acquisition by age 23</th>
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</thead>
<tbody>
<tr>
<td>NCDS</td>
<td>18.9ppts</td>
<td>22.6ppts</td>
</tr>
<tr>
<td>BCS</td>
<td>25.0ppts</td>
<td>30.0ppts</td>
</tr>
<tr>
<td>BHPS</td>
<td>35.0ppts</td>
<td>40.0ppts</td>
</tr>
<tr>
<td>Change: NCDS to BHPS</td>
<td>18.9ppts</td>
<td>22.6ppts</td>
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</tbody>
</table>

Motivation and research questions

• Would the introduction of tuition fees in 1998 – and subsequent increases in 2006-07 and 2012-13 – damage the HE participation rates of young people from disadvantaged backgrounds and increase the socio-economic gap in HE participation still further?

• Key research questions:
  – What happened to HE participation (including at high status institutions) among state school students in England between 2004-05 and 2010-11?
  – Did the trends in HE participation change following the increase in the cap on tuition fees (and other changes to student support) in 2006-07?
  – How do these patterns vary by socio-economic status?
  – To what extent can background characteristics explain differences in HE participation over time and between socio-economic groups?
Data

- **National Pupil Database (NPD)**
  - Census of pupils in Year 11 in England: 2001-02 to 2006-07
  - Key Stage test results at ages 11, 16 and 18
  - Limited background characteristics for those in state schools
    - e.g. gender, ethnicity, FSM eligibility, home postcode

- **Higher Education Statistics Agency (HESA) data**
  - Census of students attending UK universities: 2004-05 to 2010-11

- **Linked NPD-HESA data:**
  - Provides us with the population of state school students taking (or eligible to take) GCSEs in England between 2001-02 and 2006-07
  - Enables us to follow individuals from the end of primary school through to potential HE participation at age 18 or 19
Outcomes

• Participation at any UK HE institution at age 18 or 19

• Participation at a “high status” institution, where high status is:
  – Russell Group institutions (20 in total pre-2012)
  – Plus any UK university with a 2001 average RAE score higher than the lowest amongst the Russell Group (an extra 21 institutions)
  – 9.8% of state school pupils attend such institutions (31% of HE participants from state schools)

• Amongst the cohort first eligible to go to university in 2009-10:
  – 34.4% of state school pupils participated at age 18 or 19
  – 9.9% attended a high status institution (28.8% of participants)
Measure of socio-economic status

• Combine FSM eligibility at age 16 with measures of local area deprivation based on pupils’ home postcode at age 16 using PCA
  – Index of Multiple Deprivation score (SOA level; approx. 700 HHs)
  – ACORN group (postcode level; approx. 15 HHs)
  – % of population from 2001 census (OA level; approx. 150 HHs):
    • Who work in higher or lower managerial/professional occupations
    • Whose highest educational qualification is NQF Level 3 or above
    • Who own (either outright or through a mortgage) their home

• Split state school population into quintile groups based on this index
HE participation at age 18 or 19 (state school pupils)

Difference (least–most)

Most deprived quintile

2nd quintile

Middle quintile

4th quintile

Least deprived quintile

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HE participation at a high status institution at age 18 or 19 (amongst state school pupils)
Modelling HE participation (1)

• Were the changes to HE finance introduced in 2006-07 associated with changes in (the socio-economic gradient in) HE participation?

• Try to model what would have happened to HE participation (in the absence of supply constraints) had there been no policy changes

• Include in our model:
  – The four highest quintile groups on the basis of our SES index
  – A linear time trend
  – An indicator for first being eligible to go to HE in or after 2006-07
  – Interactions between SES quintile group and our post 2006-07 indicator

• Aim is to understand whether participation in and after 2006-07 was higher or lower than might have been expected on the basis of prior trends and whether this differs by socio-economic background
  – NOT a causal analysis
HE participation at age 18 or 19 amongst state school pupils before and after 2006-07

Before 2006-07  2006-07 onwards: difference compared to before 2006-07
High status participation at age 18 or 19 amongst state school pupils before and after 2006-07

- **Before 2006-07**
  - Least deprived quintile group: 24.0%
  - 4th quintile group: 17.0%
  - Middle quintile group: 11.0%
  - 2nd quintile group: 5.0%
  - Most deprived quintile group: 1.0%

- **2006-07 onwards: difference compared to before 2006-07**
  - Least deprived quintile group: 24.0%
  - 4th quintile group: 17.0%
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Modelling HE participation (2)

- Investigate the extent to which differences in HE participation by SES and over time can be explained by differences in the composition of state school pupils, by successively adding:
  - Individual characteristics (gender, ethnicity, special educational needs, month of birth, English as a second language) and school fixed effects
  - Key Stage 2 attainment (age 11)
  - Key Stage 4 attainment (age 16)
  - Key Stage 5 attainment (age 18)
Differences in HE participation between most and least deprived quintile groups before and after 2006-07

- Raw
- Plus individual characteristics and school fixed effects
- Plus Key Stage 2 results
- Plus Key Stage 4 results
- Plus Key Stage 5 results

Percentage point difference

Before 2006–07  2006–07 onwards
Differences in high status participation between most and least deprived quintile groups before and after 2006-07

Percentage point difference

Before 2006–07  2006–07 onwards
Summary and conclusions (1)

- HE participation amongst state school pupils at age 18 or 19 rose by almost 5 percentage points between 2004-05 and 2010-11
  - But there was little change in participation at high status institutions
- Participation increased more quickly for pupils from deprived backgrounds, such that the gap in HE participation – and to a lesser extent high status participation – fell over this period
  - Gap in participation between state school students from the most and least deprived quintile groups fell from 40 ppts to 37 ppts
- Improved relative performance of deprived pupils in earlier achievement tests partly explains decrease in participation gap
Summary and conclusions (2)

- Evidence of a small but temporary dip in participation in 2006-07
  - Largest amongst state school pupils from better-off families
- Upward trend in HE participation continued after 2006-07
  - More quickly for those from the poorest backgrounds
- Cannot say for sure that this change arose as a consequence of the new HE finance regime introduced in 2006-07, but:
  - Was coincident with it and cannot be explained using other characteristics observed in our data
  - Is a plausible response to the reforms, which were more generous to students from poor families and hit richer students relatively harder